AN INTRODUCTION TO THE LANGLANDS PROGRAM
PKU 2024 SUMMER SCHOOL

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Contents

1. Lecture 1

1.1. **Overview.** By class field theory, for F a global field we have the artin map $F^\times\backslash\mathbb{A}_F^\times \to \Gamma_F^{\mathrm{ab}}$, identifying Γ_F^{ab} with the maximal totally disconnected quotient of $F^\times\backslash\mathbb{A}_F^\times = \mathrm{GL}_1(F)\backslash\mathrm{GL}_1(\mathbb{A}_F)$. This suggests that one-dimensional representations of Γ_F are closely related to $\mathrm{GL}_1(F)\backslash\mathrm{GL}_1(\mathbb{A}_F)$. The Langlands conjectures suggest that n-dimensional representations of Γ_F are closely related to $\mathrm{GL}_n(F)\backslash\mathrm{GL}_n(\mathbb{A}_F)$. Similarly, generalizing local class field theory, n-dimensional representations of W_F (or rather Weil–Deligne representations) are closely related to $\mathrm{GL}_n(F)$.

To make these ideas precise, we need the notion of automorphic representations of G in the global case. Here G is a reductive group over a global field F. We will define a space $\mathcal{A}(G)$ of automorphic forms on G, which are certain functions on $G(F)\backslash G(\mathbb{A}_F)$. Roughly speaking, an automorphic representation is an irreducible subquotient representation of the $G(\mathbb{A}_F)$-representation on $\mathcal{A}(G)$ given by right translation. In the local case, the role of automorphic representations is played by all irreducible (smooth) representations of $G(F)$, for F a local field. The global and local theories are related, in a way similar to how global and local class field theories are related.

The Langlands program concerns, in both the global and local case, how these representations are related to the Galois side, and how these representations for *different* reductive groups G are related with each other. In the global case, these two questions are referred to as "reciprocity" and "functoriality".

The following two cases are the neatest to state and have been proven:

Theorem 1.1.1 (Local Langlands Correspondence for GL_n. Laumon–Rapoport–Stuhler for positive characteristic, Henniart, Harris–Taylor, and Scholze for characteristic zero)**.** *Let F be a local field. There is a canonical bijection between isomorphism classes of irreducible smooth representations of $\mathrm{GL}_n(F)$ and isomorphism classes of n-dimensional Frobenius semi-simple Weil–Deligne representations.*

Theorem 1.1.2 (Global Langlands Correspondence for GL_n over a function field. Drinfeld for $n = 2$, L. Lafforgue for general n)**.** *Let F be a global function field. Let ℓ be a prime unequal to $\mathrm{char}(F)$. There is a canonical bijection between isomorphism classes of cuspidal automorphic representations of $\mathrm{GL}_n(\mathbb{A}_F)$ and isomorphism classes of n-dimensional irreducible $\mathbb{Q}_\ell$-representations of Γ_F.*

The situation becomes much more complicated when F is a number field, or when G is a more general reductive group.

- For F local and G general, one only expects a finite-to-one map from the set of irreducible $G(F)$-representations to the set of certain Galois-theoretic data called L-parameters. When G is a classical group and $\mathrm{char}(F) = 0$, there

have been various classical approaches (including global methods). Recently, such a map has been constructed unconditionally for all G, by Genestier–V. Lafforgue for positive characteristic local fields and by Fargues–Scholze for all local fields (but the latter work only constructs a weakened version, namely L-parameters are replaced by their semi-simplifications).
- For F a global function field and G general, the "automorphic-to-Galois" direction has been established by V. Lafforgue.
- The remaining case of a number field is perhaps the most profound part of the Langlands program!

The goal of the course is to discuss the fundamental concepts related to automorphic representations, state the main conjectures in the Langlands program, and survey the current status of these conjectures, mostly focusing on characteristic zero local and global fields. We will only consider the so-called arithmetic or classical Langlands program. The following topics are important in current research but will not be discussed:

- geometric Langlands in various settings (including the Fargues–Scholze setting, over the Fargues–Fontaine curve).
- mod p or p-adic local Langlands.

The main reference for the course is [1]. Another useful source is [3].

1.2. **Linear algebraic groups.** We formally develop the theory only over characteristic zero, and occasionally comment on some subtleties over positive characteristic.

Let k be a field of characteristic zero. A linear algebraic group over k is an affine k-variety G (i.e. an affine scheme of finite type over k which is geometrically reduced) equipped with morphisms $m : G \times_k G \to G$, $e : \operatorname{Spec} k \to G$, $i : G \to G$ satisfying the usual axioms for the multiplication, identity, and inversion in a group. For any k-algebra R, the set $G(R)$ is a group under these operations, and this defines a functor from k-algebras to groups.

Remark 1.2.1. In fact, over k of characteristic zero, every affine scheme of finite type equipped with a group structure is automatically geometrically reduced, thus a linear algebraic group. It is also automatically smooth. Over arbitrary k, geometric reducedness is an important axiom in the theory of linear algebraic groups, and it implies smoothness.

Example 1.2.2. $G = \mathrm{GL}_n = \{(g_{ij}, t) \in \mathbb{A}^{n^2+1} \mid \det(g_{ij}) \cdot t = 1\}$. We write $\mathbb{G}_m$ for GL_1, so $\mathbb{G}_m(R) = (R^\times, \times)$.

Example 1.2.3. $G = \mathbb{G}_a = \mathbb{A}^1$, $G(R) = (R, +)$.

Example 1.2.4. If l/k is a finite extension and G is a linear algebraic group over l, then there is a linear algebraic group $\mathrm{Res}_{l/k} G$ over l, called the Weil restriction of scalars of G, characterized by $(\mathrm{Res}_{l/k} G)(R) \cong G(R \otimes_k l)$ for any k-algebra R.

In the sequel, by a subgroup we always mean a closed subvariety (required to be geometrically reduced) which is also a subgroup.

By a finite dimensional linear representation of G (or simply a representation of G), we mean a homomorphism $\phi : G \to \mathrm{GL}(V) = \mathrm{GL}_n$ for some finite dimensional k-vector space V. It is called faithful if ϕ is a closed immersion.

Fact 1.2.5. *Any linear algebraic group G admits a faithful representation, i.e., it can be realized as a subgroup of GL_n for some n.*

The tangent space of G at the neutral element e has the structure of a Lie algebra over k of dimension equal to $\dim G$. Denote it by $\mathrm{Lie}\, G$. The construction $G \mapsto \mathrm{Lie}\, G$ is functorial. Moreover, it induces an injection (but not bijection) from the set of connected subgroups of G to the set of Lie subalgebras of $\mathrm{Lie}\, G$. See [2] §II.3, especially Prop. 3.22, for a discussion.

There is a natural adjoint representation $G \to \mathrm{GL}(\mathrm{Lie}\, G)$.

Let $\phi : G \to H$ be a homomorphism of linear algebraic groups. Then there is a normal subgroup $K = \ker(\phi)$ of G such that $K(R)$ is the kernel of $\phi(R) : G(R) \to H(R)$ for any k-algebra R. However, even if ϕ is surjective (equivalently $\phi(\bar{k}) : G(\bar{k}) \to H(\bar{k})$ is surjective), it does not follow that $\phi(k) : G(k) \to H(k)$ is surjective.

For any normal subgroup N of G (where normal means that $N(R)$ is normal in $G(R)$ for all k-algebras R), one can form the quotient group G/N such that $G \to G/N$ is surjective with kernel N. For instance, the center Z_G of G is a normal subgroup, characterized as the unique subgroup such that $Z_G(\bar{k})$ is the center of $G(\bar{k})$. The quotient G/Z_G is denoted by G^{ad}, called the adjoint group. For another example, the neutral connected component G^0 is always a normal subgroup, and G/G^0 is denoted by $\pi_0(G)$.

1.3. **Solvable and unipotent groups.**

Definition 1.3.1. Let G be a linear algebraic group. The derived subgroup G_{der} is the intersection of the kernels of all homomorphisms from G to commutative linear algebraic groups. (In fact G/G_{der} is a commutative linear algebraic group.) We say G is solvable, if taking successive derived subgroups of G leads to the trivial group after finitely many steps.

Let G be a linear algebraic group and $g \in G(\bar{k})$. There is a canonical decomposition $g = su = us$ with $s, u \in G(\bar{k})$ such that under every representation $\phi : G_{\bar{k}} \to \mathrm{GL}_n$ (defined over $\bar{k}$), $\phi(s)$ is semi-simple and $\phi(u)$ is unipotent (meaning that $\phi(u) - I_n$ is a nilpotent matrix). This is called the Jordan decomposition. If $g = s$ then we call g semi-simple, and if $g = u$ then we call g unipotent.

Definition 1.3.2. A linear algebraic group G is called unipotent if every element of $G(\bar{k})$ is unipotent.

Fact 1.3.3. *Let $\mathbb{U}_n$ be the subgroup of GL_n consisting of upper triangular matrices with 1's on the diagonal. Then a linear algebraic group is unipotent if and only if it is isomorphic (over k or over $\bar{k}$) to a subgroup of $\mathbb{U}_n$ for some n. Note that $\mathbb{U}_n$ is solvable, so every unipotent group is solvable.*

1.4. **Reductive groups.** Let G be a connected linear algebraic group. Suppose $\mathscr{P}$ is a property of subgroups of G, such as being normal in G or being solvable. Then by dimension considerations we know that every subgroup satisfying $\mathscr{P}$ is contained in a maximal subgroup satisfying $\mathscr{P}$, and contains a minimal subgroup satisfying $\mathscr{P}$.

Definition-Proposition 1.4.1. There is a unique maximal subgroup of G which is normal, connected, and solvable (resp. unipotent), called the radical (resp. unipotent radical), denoted by $R(G)$ (resp. $R_u(G)$). We call G semi-simple (resp. reductive) if $R(G) = 1$ (resp. $R_u(G) = 1$).

We have $R_u(G) \subset R(G)$, so semi-simple implies reductive. We have $R_u(G)_{\bar{k}} = R_u(G_{\bar{k}})$ (which is not true for non-perfect k), so G is reductive if and only if $G_{\bar{k}}$ is reductive. (Over positive characteristic, $R_u(G)_{\bar{k}}$ can be smaller than $R_u(G_{\bar{k}})$. One defines G to be reductive if and only if $R_u(G_{\bar{k}}) = 1$.)

Fact 1.4.2. *If G is reductive, then $R(G) = Z(G)^0$.*

Theorem 1.4.3. *Let G be a connected linear algebraic group. Then G is reductive if and only if every (equivalently, one faithful) finite dimensional representation of G is semi-simple.* (Warning: not true over positive characteristic.)

Theorem 1.4.4 (See [2, II.4.1, 4.2])**.** *Let G be a connected linear algebraic group. Then G is semi-simple if and only if* $\operatorname{Lie} G$ *is a semi-simple Lie algebra. (Not true for "semi-simple" replaced by "reductive".)*

Example 1.4.5. Examples of reductive groups: $\mathrm{GL}_n, \mathrm{SL}_n, \mathrm{PGL}_n = \mathrm{GL}_n^{\mathrm{ad}}, \mathrm{Sp}(V,\psi) = \mathrm{Sp}_{2g}$ for a symplectic space (V,ψ) over k, $\mathrm{SO}(V,\psi)$ for a quadratic space (V,ψ) over k, $\mathrm{U}(V,\psi)$ for a hermitian space (V,ψ) over a quadratic extension l/k.

For any (finite dimensional) simple algebra D over k, we also have a reductive group G such that $G(R) = (D \otimes_k R)^\times$. One often denotes G by $D^\times$. Note that if l is the center of D (thus l is a finite degree field extension of k) and $\dim_l D = n^2$, then

$$D \otimes_k \bar{k} \cong \prod_{\sigma \in \mathrm{Hom}_k(l,\bar{k})} D \otimes_{l,\sigma} \bar{k} \cong \prod_\sigma M_n(\bar{k}),$$

and so $G_{\bar{k}} \cong \prod_\sigma \mathrm{GL}_n$.

Example 1.4.6. The Weil restriction of scalars of a reductive group is again reductive.

Example 1.4.7. Let $\mathbb{B}_n$ be the subgroup of GL_n consisting of upper triangular matrices. Then $R_u(\mathbb{B}_n) = R_u(\mathbb{U}_n) = \mathbb{U}_n$, and so $\mathbb{B}_n$ and $\mathbb{U}_n$ are not reductive if $n > 1$.

1.5. **Tori.**

Definition 1.5.1. A linear algebraic group T is called a torus, if $T_{\bar{k}} \cong \mathbb{G}_{m,\bar{k}}^n$ for some n. If we have $T \cong \mathbb{G}_m^n$ for some n, then we say T is a split torus.

Example 1.5.2. Every torus is reductive.

Definition 1.5.3. For a linear algebraic group G, define the sets
$$X^*(G) = \mathrm{Hom}(G, \mathbb{G}_m), \quad X_*(G) = \mathrm{Hom}(\mathbb{G}_m, G).$$
(Here the base field k is implicit, and we only consider k-homomorphisms.) The first is always a $\mathbb{Z}$-module, and the second is a $\mathbb{Z}$-module if G is commutative.

Note that $X^*(G_{\bar{k}})$ is a discrete $\mathbb{Z}[\Gamma_k]$-module, and $X^*(G_{\bar{k}})^{\Gamma_k} = X^*(G)$.

Fact 1.5.4. *The functor $T \mapsto X^*(T_{\bar{k}})$ is an anti-equivalence from the category of tori over k to the category of discrete $\mathbb{Z}[\Gamma_k]$-modules which are finite free over $\mathbb{Z}$. The dimension of T is equal to the $\mathbb{Z}$-rank of $X^*(T_{\bar{k}})$. We have T is split if and only if the Γ_k-action on $X^*(T_{\bar{k}})$ is trivial.*

By the last assertion, we see that every torus over k splits over a finite extension of k.

Example 1.5.5. Let l/k be a finite extension. Then $T = l^\times$ is a reductive group, since $T_{\bar{k}} \cong \mathbb{G}_m^{[l:k]}$ (see Example 1.4.5). The Γ_k-module $X^*(T_{\bar{k}})$ is identified with $\mathrm{Ind}_{\{1\}}^{\Gamma_k} \mathbb{Z}$.

Fact 1.5.6. *All maximal split tori in a connected linear algebraic group G are conjugate by elements of $G(F)$.*

In particular, they are all isomorphic to $\mathbb{G}_m^r$ for a common r. We call r the rank of G.

Fact 1.5.7. *For each maximal torus T in a connected linear algebraic group G, $T_{\bar{k}}$ is a maximal torus in $G_{\bar{k}}$.*

In other words, the maximal tori in G are exactly those maximal tori in $G_{\bar{k}}$ which are "defined over k". In particular, they all have the same dimension equal to the rank of $G_{\bar{k}}$ (called the absolute rank of G). However, the maximal tori in G need not be isomorphic to each other, as shown by the following example.

Example 1.5.8. In GL_n, the diagonal subgroup is a maximal torus and it is split. For any degree n field extension l/k, we have a torus $l^\times$ (see Example 1.5.5) and a faithful representation $\phi : l^\times \to \mathrm{GL}_n$ by considering the multiplication action of $l^\times$ on $l \cong k^n$. The image T of ϕ is also a maximal torus in GL_n since it has dimension n equal to the rank of GL_n, but it is not split.

2. Lecture 2

2.1. The Weyl group.

Definition 2.1.1. Let G be a reductive group over k and $T \subset G$ a torus. Define the Weyl group $W(G,T) = N_G(T)/C_G(T)$. Here $N_G(T)$ and $C_G(T)$ are the normalizer and centralizer of T in G, characterized as the unique subgroups of G such that $N_G(T)(\bar{k})$ and $C_G(T)(\bar{k})$ are the normalizer and centralizer of $T(\bar{k})$ in $G(\bar{k})$ respectively.

Fact 2.1.2. *A torus $T \subset G$ is maximal if and only if $C_G(T) = T$. (Clearly we always have $T \subset T' \subset C_G(T)$ for any maximal torus T' containing T.)*

Remark 2.1.3. The above fact crucially depends on that G is reductive. For instance, $G = \mathbb{G}_m \times \mathbb{G}_a$ is not reductive, as its unipotent radical is $1 \times \mathbb{G}_a$. Then $T = \mathbb{G}_m \times 1$ is the unique maximal torus in G, but $C_G(T) = G$.

Fact 2.1.4. *The group $W(G,T)$ is finite étale. If T is a maximal split torus, then $W(G,T)$ is constant, in the sense that there exists an abstract group Γ such that for any k-algebra R we have $W(G,T)(R) =$ the group of locally constant functions $\operatorname{Spec} R \to \Gamma$ (with the group structure given by Γ). Thus $\Gamma = W(G,T)(k) = W(G,T)(\bar{k})$. Moreover, in this case we have $W(G,T)(k) = N_G(T)(k)/C_G(T)(k)$. (In general, the surjection $N_G(T) \to W(G,T)$ may not induce a surjection on k-points.) In this case we identify $W(G,T)$ with the abstract group $W(G,T)(k)$.*

For T a maximal split torus, we have a natural action of $W(G,T)(k)$ on T, i.e. a homomorphism of abstract groups $W(G,T)(k) \to \operatorname{Aut}_k(T)$. In particular, $W(G,T)(k)$ also acts on $X^*(T)$ and $X_*(T)$.

2.2. **Root data, split case.**

Definition 2.2.1. A reductive group G over k is called split, if it contains a maximal torus which is split (equivalently, every maximal split torus is a maximal torus, and equivalently, there exists a split maximal torus).

Example 2.2.2. The groups $\mathrm{GL}_n, \mathrm{SL}_n, \mathrm{PGL}_n, \mathrm{Sp}_{2g}$ are split. For a simple k-algebra D, the group $D^\times$ is split if and only if $D \cong M_n(k)$, in which case $D^\times \cong \mathrm{GL}_n$.

Let G be a split reductive group over k, and let T be a maximal split torus. Thus T is a split maximal torus. Since $T \cong \mathbb{G}_m^n$, any representation of T decomposes into a direct sum of one-dimensional representations, i.e., a direct sum of characters in $X^*(T) = \operatorname{Hom}(T, \mathbb{G}_m)$. Consider the adjoint representation $G \to \mathrm{GL}(\operatorname{Lie} G)$ restricted to T.

Definition 2.2.3. The non-trivial characters in $X^*(T)$ that appear in the T-representation $\operatorname{Lie} G$ are called roots. The set of them is denoted by $\Phi = \Phi(G,T) \subset X^*(T) - \{0\}$.

Note that the trivial character $0 \in X^*(T)$, namely $T \to \mathbb{G}^m, z \mapsto 1$, also appears, since T acts trivially on $\operatorname{Lie} T \subset \operatorname{Lie} G$. In fact, $\operatorname{Lie} T$ is precisely the eigenspace for the trivial character. Thus we have

$$\mathfrak{g} = \operatorname{Lie} G = \operatorname{Lie} T \oplus \bigoplus_{\alpha \in \Phi} \mathfrak{g}_\alpha,$$

where $\mathfrak{g}_\alpha$ is the eigenspace corresponding to α, on which T acts via $\alpha : T \to \mathbb{G}_m$. It turns out that each $\mathfrak{g}_\alpha$ has dimension 1, i.e., every non-trivial character of T appears in $\mathfrak{g}$ with multiplicity at most 1.

The pair $(V = X^*(T) \otimes_{\mathbb{Z}} \mathbb{R}, \Phi \subset V)$ is a root system. Recall that this means, among other things, that there exists a Euclidean space structure $\langle \cdot, \cdot \rangle$ on V such that for each $\alpha \in \Phi$, the reflection along α

$$s_\alpha : V \to V, x \mapsto x - 2\frac{\langle x, \alpha\rangle}{\langle \alpha, \alpha\rangle}\alpha$$

(which is the unique linear map sending α to $-\alpha$ and fixing the orthogonal complement of α) stabilizes the set Φ. The Euclidean structure is not canonical, but there is a canonical way to define $s_\alpha : V \to V$ as follows. It even comes from an automorphism $s_\alpha : X^*(T) \to X^*(T)$. Let

$$G_\alpha = C_G(\ker(\alpha)^0).$$

This is a reductive subgroup of G containing T, and T is a maximal torus in G_α (so G_α is split). We have $W(G_\alpha, T) \cong \mathbb{Z}/2\mathbb{Z}$, and the action of the non-trivial element on $X^*(T)$ is our desired s_α. Clearly $s_\alpha^2 = 1$.

Fact 2.2.4. *The action map $W(G,T) \to \mathrm{Aut}(T) \cong \mathrm{Aut}(X^*(T))$ is injective, and its image is generated by $s_\alpha, \alpha \in \Phi$.*

Since T is split, there is perfect pairing $\langle , \rangle : X^*(T) \times X_*(T) \to \mathbb{Z}$, sending (λ, μ) to the integer n such that the homomorphism $\lambda \circ \mu : \mathbb{G}_m \to \mathbb{G}_m$ is $z \mapsto z^n$.

Definition-Proposition 2.2.5. For each $\alpha \in \Phi$, there exists a unique element $\alpha^\vee \in X_*(T) - \{0\}$ such that

$$s_\alpha(x) = x - \langle x, \alpha^\vee\rangle\alpha, \quad \forall x \in X^*(T).$$

This is called the coroot corresponding to α. The set of coroots is denoted by $\Phi^\vee = \Phi^\vee(G,T)$, and the map $\alpha \mapsto \alpha^\vee$ is a bijection $\Phi \xrightarrow{\sim} \Phi^\vee$.

Fact 2.2.6. *The quadruple $(X, \Phi, Y, \Phi^\vee) = (X^*(T), \Phi(G,T), X_*(T), \Phi^\vee(G,T))$, together with the perfect pairing $X \times Y \to \mathbb{Z}$ and the bijection $\Phi \xrightarrow{\sim} \Phi^\vee, \alpha \mapsto \alpha^\vee$, is a root datum, characterized by the following axioms:*

- *For each $\alpha \in \Phi$, we have $\langle \alpha, \alpha^\vee\rangle = 2$.*
- *For each $\alpha \in \Phi$, define $s_\alpha : X \to X, x \mapsto x - \langle x, \alpha^\vee\rangle\alpha$, and $s_{\alpha^\vee} : Y \to Y, y \mapsto y - \langle \alpha, y\rangle\alpha^\vee$. Then*

$$s_\alpha(\Phi) \subset \Phi, \quad s_{\alpha^\vee}(\Phi^\vee) \subset \Phi^\vee.$$

(Note that s_α and $s_{\alpha^\vee}$ are involutions, so we have equalities.)

Moreover, this root datum is reduced, in the sense that for each $\alpha \in \Phi$ the only multiples of α in Φ are $\pm\alpha$. (Note that $-\alpha = s_\alpha(\alpha) \in \Phi$.)

We write $\Psi(G,T)$ for the root datum arising from (G,T). Since $W(G,T)$ is identified with the subgroup of $\mathrm{Aut}(X^*(T))$ generated by the s_α's, it is completely determined by $\Psi(G,T)$ in a combinatorial way. For fixed G, the different choices of T are conjugate by $G(k)$, and so the isomorphism class of $\Psi(G,T)$ depends only on G.

Theorem 2.2.7 (Chevalley, Demazur)**.** *We have a bijection from the set of isomorphism classes of split reductive groups over k to the set of isomorphism classes of reduced root data. (Note that the latter set does not depend on k.)*

Remark 2.2.8. One can ask whether there is an equivalence of categories from pairs (G,T) to reduced root data. This cannot be done a naive way. Firstly, the natural map $\mathrm{Aut}(G,T) \to \mathrm{Aut}(\Psi(G,T))^{\mathrm{op}}$ is not an isomorphism. It is surjective, and the kernel consists of those automorphisms of G induced by conjugation by elements of $(T/Z_G)(k)$. Secondly, it is not easy to capture all homomorphisms $(G,T) \to (G',T')$ by the root data, although one can (partially) capture central isogenies $(G,T) \to (G',T')$, i.e., surjective homomorphisms with finite kernels, by certain morphisms between root data.

Example 2.2.9. Consider $G = \mathrm{GL}_n$. It is split, and a maximal split torus is given by the diagonal subgroup $T = \{(\ddots)\}$. We have $X^*(T) \cong \mathbb{Z}^n = \bigoplus_{i=1}^n \mathbb{Z}e_i$, where

$$e_i : T \longrightarrow \mathbb{G}_m, \quad \begin{pmatrix} t_1 & & \\ & \ddots & \\ & & t_n \end{pmatrix} \longmapsto t_i.$$

Also $X^*(T) \cong \mathbb{Z}^n = \bigoplus_{i=1}^n \mathbb{Z}e_i^\vee$, where

$$e_i^\vee : \mathbb{G}_m \longrightarrow T, \quad z \mapsto \begin{pmatrix} 1 & & & & \\ & \ddots & & & \\ & & z \ (i\text{-th}) & & \\ & & & \ddots & \\ & & & & 1 \end{pmatrix}.$$

The pairing $\langle \cdot, \cdot \rangle : X^*(T) \times X_*(T) \to \mathbb{Z}$ is given by $\langle e_i, e_j^\vee \rangle = \delta_{ij}$. We have $\mathfrak{g} = \mathrm{Lie}\, G = M_n(k)$, and the adjoint action of G on $\mathfrak{g}$ is given by the usual conjugation action. (More generally, for any linear algebraic group G, the adjoint representation of G on $\mathrm{Lie}\, G$ can be deduced from this case by embedding G into some GL_n.) The roots are

$$\Phi(G,T) = \{e_i - e_j \mid i \neq j\}.$$

The coroot corresponding to $\alpha = e_i - e_j$ is $\alpha^\vee = e_i^\vee - e_j^\vee$. The reflection s_α permutes the e_k's by the transposition $(ij) \in S_n$. The Weyl group is identified with S_n.

2.3. **Borel subgroups and quasi-splitness.** Let G be a non-trivial reductive group over k.

Definition 2.3.1. A maximal connected solvable subgroup of $G_{\bar{k}}$ is called a Borel subgroup. A subgroup of G is called Borel, if its base change to $\bar{k}$ is a Borel subgroup of $G_{\bar{k}}$.

For dimension reasons, $G_{\bar{k}}$ always contains a Borel subgroup B, and $B \subsetneq G_{\bar{k}}$ since $B = R_u B$ is not reductive. In fact, we also always have $B \neq 1$. However, a Borel subgroup of $G_{\bar{k}}$ may not be defined over k, so G may not contain any Borel subgroup.

Definition 2.3.2. If a Borel subgroup of G exists, then we call G quasi-split.

Over $\bar{k}$, or more generally in the split case, Borel subgroups are classified as follows.

Fact 2.3.3. *If G is split then it is quasi-split. In this case every Borel subgroup contains a maximal split torus in G, and conversely for every maximal split torus T in G, the set of Borel subgroups B of G containing T is non-empty and a torsor under $W(G,T)$. This set is in bijection with the set of choices of positive roots in $\Phi(G,T)$. (A choice of positive roots is a subset $\Phi^+ \subset \Phi$ such that $\Phi = \Phi^+ \sqcup -\Phi^+$ and such that $\forall \alpha, \beta \in \Phi^+, \alpha+\beta \in \Phi \Rightarrow \alpha+\beta \in \Phi^+$.) The bijection $\{B\} \leftrightarrow \{\Phi^+\}$ is characterized by*

$$\operatorname{Lie} B = \operatorname{Lie} T \oplus \bigoplus_{\alpha \in \Phi^+} \mathfrak{g}_\alpha.$$

Fact 2.3.4. *The reductive group G is quasi-split if and only if for one (hence any) maximal split torus S, $C_G(S)$ is a maximal torus (or equivalently, a torus). Assume this is the case. We call $C_G(S)$ a Cartan torus. Every Borel subgroup of G contains a Cartan torus. Conversely, given a Cartan torus $T = C_G(S)$, a Borel subgroup of $G_{\bar{k}}$ containing $T_{\bar{k}}$ is defined over k if and only if the corresponding set of positive roots $\Phi^+ \subset \Phi(G_{\bar{k}}, T_{\bar{k}})$ is stable under the Γ_k-action on $X^*(T_{\bar{k}})$. This condition is always satisfied by some Φ^+. Thus the (non-empty) set of Borel subgroups of G containing T is in bijection with the set of Γ_F-stable sets of positive roots in $\Phi(G_{\bar{k}}, T_{\bar{k}})$.*

Example 2.3.5. If G is split, then every maximal split torus S is a maximal torus, and hence $C_G(S) = S$. Therefore G is quasi-split. In general, a maximal split torus S is always contained in a maximal torus T, and hence $C_G(S) \supset T$. Thus asking $C_G(S)$ is a maximal torus amounts to asking that "S is not too small".

Example 2.3.6. Let $G = \mathrm{GL}_n$ and T be the diagonal torus. Then T is a split maximal torus. The Γ_k-action on $X^*(T_{\bar{k}})$ is trivial, so the Borel subgroups containing T correspond to choices of positive roots in $\Phi(G,T)$. One such choice is $\Phi^+ = \{e_i - e_j \mid i < j\}$. The corresponding Borel subgroup is the upper triangular subgroup $\mathbb{B}_n$.

By a based root datum, we mean a root datum together with a choice of positive roots. By a Γ_k-action on a based root datum, we mean a continuous action on the root datum stabilizing the set of positive roots.

Theorem 2.3.7. *The isomorphism classes of quasi-split reductive groups over k are in bijection with the isomorphism classes of reduced based root data with Γ_k-action.*

Quasi-split reductive groups play a special role in the classification of all reductive groups, by the following fact.

Fact 2.3.8. *For any reductive group G over k, there is a quasi-split reductive group G^* over k which is an inner form of G, i.e., there is an isomorphism $\phi : G_{\bar{k}} \xrightarrow{\sim} G^*_{\bar{k}}$ such that for each $\sigma \in \Gamma_k$, the automorphism $\sigma(\phi^{-1}) \circ \phi : G_{\bar{k}} \to G_{\bar{k}}$ is inner, that is, of the form $\operatorname{Int}(g) : x \mapsto gxg^{-1}$ for some $g \in G(\bar{k})$. For fixed G^*, the pairs (G, ϕ) as above modulo a suitable equivalence relation are classified by the Galois cohomology set $\mathbf{H}^1(k, (H^*)^{\mathrm{ad}})$.*

Example 2.3.9. Let D be a central simple algebra over k of dimension n^2. Then the reductive group $D^\times$ over k is an inner form of GL_n.

3. Lecture 3

3.1. Parabolic subgroups.

Let G be a reductive group over k.

Fact 3.1.1 (Relative root datum)**.** *Let S be a maximal split torus in G and let $M_0 := C_G(S)$. (Caution: M_0 may not be a torus.) Let $\Phi(G,S)$ be the non-trivial characters of S appearing in the S-representation $\mathfrak{g} = \mathrm{Lie}\, G$. Then we have*

$$\mathfrak{g} = \mathrm{Lie}\, M_0 \oplus \bigoplus_{\alpha\in\Phi(G,S)} \mathfrak{g}_\alpha,$$

where $\mathfrak{g}_\alpha$ is the α-eigenspace (whose dimension may be > 1). The triple $(X^*(S), \Phi(G,S), X_*(S))$ canonically extends to a (possibly non-reduced) root datum $(X^*(S), \Phi(G,S), X_*(S), \Phi^\vee(G,S))$.

The root datum $(X^*(S), \Phi(G,S), X_*(S), \Phi^\vee(G,S))$ can be constructed from $\Psi(G_{\bar{k}}, T_{\bar{k}})$ where T is a maximal torus in G containing S, essentially by considering the restriction from T to S. Thus it is sometimes called the restricted root datum, or the relative root datum, for (G,S).

Definition 3.1.2. A subgroup P of G is called parabolic, if $P_{\bar{k}}$ contains a Borel subgroup of $G_{\bar{k}}$.

Clearly G is a parabolic subgroup of G, but there may not exist a proper parabolic subgroup. Since G is noetherian, there exist minimal parabolic subgroups, and every parabolic subgroup contains a minimal one.

Fact 3.1.3. *The minimal parabolic subgroups in G are all conjugate by $G(k)$. Each of them contains $C_G(S)$ for some maximal split torus S in G. For a fixed S, the set of minimal parabolic subgroups P_0 containing $M_0 = C_G(S)$ is in bijection with the set of choices of positive roots $\Phi^+ \subset \Phi(G,S)$. The bijection is characterized by: $P_0 \leftrightarrow \Phi^+$ if and only if*

$$\mathrm{Lie}\, P_0 = \mathrm{Lie}\, M_0 \oplus \bigoplus_{\alpha\in\Phi^+} \mathfrak{g}_\alpha.$$

From now on we fix $P_0 \supset M_0 = C_G(S)$ as above. We call parabolic subgroups containing P_0 standard. It follows that every parabolic subgroup is conjugate under $G(k)$ to a standard one.

Let Δ be the set of non-decomposable elements of Φ^+ (called simple roots). Then Δ is a root basis for $\Phi(G,S)$, i.e., it is linearly independent in $X^*(S)$ and every element of $\Phi(G,S)$ is either a $\mathbb{Z}_{\geq 0}$-linear or $\mathbb{Z}_{\leq 0}$-linear combination of Δ. In fact, choosing a set of positive roots is equivalent to choosing a root basis.

Theorem 3.1.4. *There is an inclusion-preserving bijection $J \mapsto P_J$ between the set of subsets of Δ and the set of standard parabolic subgroups, characterized as follows. Let $\Phi(J) = \Phi(G,S) \cap \mathrm{Span}_{\mathbb{Z}} J$. Then*

$$\mathrm{Lie}\, P_J = \mathrm{Lie}\, M_0 \oplus \bigoplus_{\alpha\in\Phi^+\cup\Phi(J)} \mathfrak{g}_\alpha.$$

Example 3.1.5. $P_\emptyset = P_0, P_\Delta = G$.

Remark 3.1.6. We have $\Delta = \emptyset$ if and only if $\Phi(G, S) = \emptyset$ if and only if S is central. In this case, $M_0 = P_0 = G$, and G does not have proper parabolic subgroups. We say that G is anisotropic-mod-center.

Definition 3.1.7. Let H be a connected linear algebraic group over k (of characteristic zero). By a Levi component of H, we mean a subgroup L such that $H = L \ltimes R_u H$. In particular, L is reductive.

Theorem 3.1.8 (Levi decomposition)**.** *The group P_J admits a Levi component M_J satisfying $\operatorname{Lie} M_J = \operatorname{Lie} M_0 \oplus \bigoplus_{\alpha \in \Phi(J)} \mathfrak{g}_\alpha$. Moreover, M_J is the unique Levi component of P_J which contains M_0.*

Write N_J for $R_u P_J$. We have

$$\operatorname{Lie} N_J = \bigoplus_{\alpha \in \Phi^+, \alpha \notin \Phi(J)} \mathfrak{g}_\alpha.$$

Example 3.1.9. In $G = \mathrm{GL}_n$, choose $P_0 = \mathbb{B}_n$ and $M_0 = T =$ the diagonal torus. Then

$$\Delta = \{\alpha_1 = e_1 - e_2, \alpha_2 = e_2 - e_3, \cdots, \alpha_{n-1} = e_{n-1} - e_n\}.$$

A subset $J \subset \Delta$ corresponds to an ordered partition $\lambda = (\lambda_1, \cdots, \lambda_k)$ of n (i.e., an ordered tuple such that $\sum \lambda_i = n$) by the relation

$$J = \{\alpha_i \mid i \notin \{\lambda_1, \lambda_1 + \lambda_2, \cdots, \lambda_1 + \cdots + \lambda_k\}\}.$$

For example the partition $(2, 1, 2, 3)$ of $n = 8$ corresponds to $J = \{\alpha_1, \alpha_4, \alpha_6, \alpha_7\}$. Then P_J consists of the invertible block upper triangular matrices where the diagonal block sizes are $\lambda_1, \cdots, \lambda_k$. The group M_J consists of the invertible block diagonal matrices and so $M_J \cong \mathrm{GL}_{\lambda_1} \times \cdots \times \mathrm{GL}_{\lambda_k}$, and N_J consists of the block upper triangular matrices with identity matrices on the block diagonal.

3.2. **The analytic topology.** Let F be a local or global field (of characteristic zero). Let R be an F-algebra which is a Hausdorff locally compact topological ring. In applications, in the local case we take $R = F$, and in the global case we take $R = \mathbb{A}_F^S$ (the adeles away from S) for a finite set S of places of F.

Fact 3.2.1. *Let X be an affine variety over F. Equip $X(R)$ with the coarsest topology such that for every morphism ϕ from X to the affine line (i.e. element $\phi \in \mathcal{O}_X(X)$), the resulting map $\phi(R) : X(R) \to R$ is continuous. Then $X(R)$ is Hausdorff and locally compact. If $X \to Y$ is any morphism of varieties, then $X(R) \to Y(R)$ is continuous. If $X \to Y$ is a closed immersion, then $X(R) \to Y(R)$ is a closed embedding (i.e. homeomorphism onto the image and the image is closed). If G is a linear algebraic group over F, then $G(R)$ is a Hausdorff locally compact topological group.*

Example 3.2.2. For a linear algebraic group G over F, we can choose closed immersions $G \hookrightarrow \mathrm{GL}_n \hookrightarrow \mathbb{A}_F^{n^2+1}$ (the (n^2+1)-dimensional affine space over F), where the second map is $g \mapsto (g_{ij}, \det g^{-1})$. Then $G(R)$ has the subspace topology inherited from R^{n^2+1}

Example 3.2.3. If $F = \mathbb{R}$ or $\mathbb{C}$, then $G(F)$ is a Lie group over $\mathbb{R}$ or $\mathbb{C}$.

Example 3.2.4. Let E/F be a finite extension of local fields. Let G be a linear algebraic group over E, and let $H = \mathrm{Res}_{E/F}\, G$. Then the natural isomorphism $H(F) \cong G(E)$ is also a topological isomorphism. Similarly, in the global case, $H(\mathbb{A}_F) \cong G(\mathbb{A}_E)$ is a topological isomorphism.

Definition 3.2.5. A locally profinite group is a Hausdorff and locally compact topological group such that the compact open subgroups form a neighborhood basis of 1.

Remark 3.2.6. In a Hausdorff space, every compact set is closed. Hence every compact open set is a union of connected components. If G is a locally profinite group, then for every $g \in G$ the set $\{g\}$ is a connected component. (However G may not have the discrete topology, and $\{g\}$ may not be open.) This property is called totally disconnected.

Proposition 3.2.7. *Let F be a local non-archimedean field, and let G be a linear algebraic group over F. Then $G(F)$ is locally profinite.*

Proof. Note that any closed subgroup of a locally profinite topological group is locally profinite. Hence we may assume that $G = \mathrm{GL}_n$. Let $\pi \in F$ be a uniformizer. Then for each positive integer k, the subset $I_n + \pi^k M_n(\mathcal{O}_F)$ is a compact open subgroup of $\mathrm{GL}_n(F)$ (called the k-th principal congruence subgroup), and for all k they form a neighborhood basis. □

Let F be global and G a linear algebraic group over F. Fix a faithful representation $\phi : G \to \mathrm{GL}_n$. For each non-archimedean place v of F, let $K_v = G(F_v) \cap \phi^{-1}(\mathrm{GL}_n(\mathcal{O}_{F_v}))$. This is a compact open subgroup of $G(F_v)$. If we change ϕ, then K_v will change for only finitely many v.

Fact 3.2.8. *Let S be a finite set of places of F. The natural map $G(\mathbb{A}_F^S) \to \prod_{v \notin S} G(F_v)$, where v runs over all places of F outside S, identifies $G(\mathbb{A}_F)$ with the restricted product with respect to K_v's*

$$\prod_{v \notin S}{}' G(F_v) = \{(g_v) \in \prod_{v \notin S} G(F_v) \mid g_v \in K_v \text{ for almost all } v\}.$$

Moreover, it is a topological isomorphism, where the restricted product topology is defined to be generated by open sets of the form $\prod_v U_v$ where each U_v is an open set in $G(F_v)$ and $U_v = K_v$ for almost all v.

Recall that on any Hausdorff locally compact group H, there exists a left Haar measure, i.e., a positive Radon measure (= Borel measure which is finite on compact sets, outer regular, and inner regular for open sets) invariant under left translation. It is unique up to a positive scalar. Similarly for right Haar measure. If one (and hence every) left Haar measure is right Haar, then we say the group is unimodular. In general, there is a canonical homomorphism, called the modulus character

$$\delta_H : H \longrightarrow \mathbb{R}_{>0}$$

such that for any right Haar measure $d_r h$ on H, we have

$$d_r(h_0 h) = \delta_H(h_0) d_r(h), \quad \forall h_0 \in H.$$

Thus H is unimodular if and only if δ_H is trivial.

Fact 3.2.9. *Let G be a reductive group over a local or global field F. Then $G(F)$ in the local case and $G(\mathbb{A}_F^S)$ in the global case is unimodular.*

In fact, there is a way of obtaining a Haar measure on $G(\mathbb{A}_F^S)$ from Haar measures on $G(F_v)$, by a certain product process. The unimodularity of $G(\mathbb{A}_F^S)$ follows from that of $G(F_v)$.

3.3. **The automorphic quotient.** Let F be a number field and G a reductive group over F.

Fact 3.3.1. *The subgroup $G(F)$ in $G(\mathbb{A}_F)$ is discrete and hence closed.*

Generalizing the idele class group $\mathrm{GL}_1(F)\backslash \mathrm{GL}_1(\mathbb{A}_F)$, we would like to consider the quotient $G(F)\backslash G(\mathbb{A}_F)$. Recall that the idele class group is not compact, but we can shrink it to the unit idele class group $F^\times\backslash\mathbb{A}_F^{\times,1}$, which is compact. Here we define the idelic norm

$$|\cdot|_{\mathbb{A}} : \mathbb{A}_F^\times \longrightarrow \mathbb{R}_{>0}, \quad x \longmapsto \prod_v |x|_v$$

where each $|\cdot|_v$ is the canonically normalized absolute value on F_v (so that $d(xy) = |x|_v dy$ for a Haar measure dy on F_v), and

$$\mathbb{A}_F^{\times,1} = \{(x_v) \in \mathbb{A}_F^\times \mid |x|_{\mathbb{A}} = 1\}.$$

Similarly, we need to modify $G(F)\backslash G(\mathbb{A}_F)$.

Definition 3.3.2. Let

$$G(\mathbb{A}_F)^1 := \bigcap_{\chi \in X^*(G)} \ker\left(G(\mathbb{A}_F) \xrightarrow{\chi} \mathbb{A}_F^\times \xrightarrow{|\cdot|_{\mathbb{A}}} \mathbb{R}_{>0}\right).$$

This is a closed subgroup of $G(\mathbb{A}_F)$, and hence is itself a Hausdorff locally compact group. In general it is not the $\mathbb{A}_F$-points of an algebraic group. Note that

$$G(F) \subset G(\mathbb{A}_F)^1,$$

since for any $g \in G(F)$ and $\chi \in X^*(G)$ we have $\chi(g) \in F^\times \subset \mathbb{A}_F^{\times,1}$.

Lemma 3.3.3. *There is a closed central subgroup A_G of $G(\mathbb{A}_F)$ such that $G(\mathbb{A}_F) \cong A_G \times G(\mathbb{A}_F)^1$. The group $G(\mathbb{A}_F)^1$ is unimodular.*

Proof. The second assertion follows from the first and the unimodularity of $G(\mathbb{A}_F)$ and A_G (which is abelian). To prove the first assertion, if we set $G' = \mathrm{Res}_{F/\mathbb{Q}} G$, then $G'(\mathbb{A}_{\mathbb{Q}}) = G(\mathbb{A}_F)$ and $G'(\mathbb{A}_{\mathbb{Q}})^1 = G(\mathbb{A}_F)^1$. Thus we may assume $F = \mathbb{Q}$. Let $\mathscr{A}_G$ be the maximal split torus in Z_G° (over $\mathbb{Q}$), and let A_G be the identity component (for the analytic topology) of $\mathscr{A}_G(\mathbb{R})$.

Note that $\mathscr{A}_G \cong \mathbb{G}_m^k$, so $\mathscr{A}_G(\mathbb{R}) \cong (\mathbb{R}^\times)^k$ and so $A_G \cong (\mathbb{R}_{>0})^k$. To prove that $G(\mathbb{A}) = A_G \times G(\mathbb{A})^1$, we use the fact that the restriction map $X^*(G) \to X^*(\mathscr{A}_G)$ induces an isomorphism $X^*(G) \otimes_{\mathbb{Z}} \mathbb{Q} \xrightarrow{\sim} X^*(\mathscr{A}_G) \otimes_{\mathbb{Z}} \mathbb{Q}$. Thus for every coordinate projection $\chi_i : A_G \cong (\mathbb{R}_{>0})^k \to \mathbb{R}_{>0}$, there exists an integer n_i such that $\chi_i^{n_i}$ is induced by some $\phi_i \in X^*(G)$. For $g \in A_G \cap G(\mathbb{A})^1$, we have $|\chi_i^{n_i}(g)|_\infty = |\phi_i(g)|_{\mathbb{A}} = 1$, and it follows that $\chi_i(g) = 1$ and so $g = 1$. On the other hand, for any $g \in G(\mathbb{A})$, by the fact we know that $g \in G(\mathbb{A})^1$ if and only if $|\phi_i(g)|_{\mathbb{A}} = 1$ for each i. For general g, let $x = (|\phi_1(g)|_{\mathbb{A}}^{1/n_1}, \cdots, |\phi_k(g)|_{\mathbb{A}}^{1/n_k}) \in A_G$. Then $x^{-1}g \in G(\mathbb{A})^1$. Hence $G(\mathbb{A}) = A_G \times G(\mathbb{A})^1$. □

Definition 3.3.4. Let $[G] = G(F)\backslash G(\mathbb{A}_F)^1 = (G(F)A_G)\backslash G(\mathbb{A}_F)$. This is called the automorphic quotient for G.

4. Lecture 4

4.1. **The automorphic spectrum.** Fix a Haar measure dg on $G(\mathbb{A}_F)^1$, and equip $[G] = G(F)\backslash G(\mathbb{A}_F)^1$ with the quotient measure of dg by the counting measure on $G(F)$. This is the unique Radon measure $d\bar{g}$ on $[G]$ characterized by

$$\int_{[G]} \Big(\sum_{\gamma \in G(\mathbb{Q})} f(\gamma g)\Big) d\bar{g} = \int_G f(g) dg$$

for all compactly supported continuous functions f on G. (The existence depends on the fact that $G(\mathbb{A}_F)^1$ and $G(F)$ are both unimodular.) Clearly $d\bar{g}$ is invariant under the right translation action by $G(\mathbb{A}_F)^1$.

Fact 4.1.1. *The space $[G]$ has finite volume under $d\bar{g}$. It is compact if and only if G is anisotropic-mod-center, i.e., G does not contain any proper parabolic subgroup, or equivalently, every split torus in G is central.*

Consider $L^2([G])$, the space of square integrable functions $[G] \to \mathbb{C}$ defined with respect to $d\bar{g}$ (and completed with respect to the L^2-norm). This is a Hilbert space, and $G(\mathbb{A}_F)^1$ acts on it by right translation:

$$r_g(f)(x) = f(xg), \quad \forall g \in G(\mathbb{A}_F)^1, f \in L^2([G]), x \in [G].$$

Definition 4.1.2. Let H be a topological group.

(1) By a Hilbert representation of H, we mean a continuous linear representation $H \times V \to V$ on a Hilbert space V (over $\mathbb{C}$, having a countable Hilbert basis). We often write π for the map $H \to \mathrm{GL}(V)$, and denote the representation by the pair (π, V).
(2) A Hilbert representation is called unitary, if $\pi(g)$ is a unitary operator for each $g \in H$.
(3) A Hilbert representation is called irreducible, if there is no proper closed H-stable subspace.
(4) Isomorphisms between Hilbert representations are by definition topological vector space isomorphisms preserving the H-actions. They are not required to be isometries. For two unitary representations, we are interested

in whether we can find an isomorphism between them which is an isometry. When this is the case we say that they are unitarily equivalent.

(5) Denote by $\widehat{H}$ the set of unitary equivalence classes of irreducible unitary representations of H, called the unitary dual of H.

Proposition 4.1.3. *The $G(\mathbb{A}_F)^1$-action on $L^2([G])$ is a unitary representation.*

Proof. Write H for $G(\mathbb{A}_F)^1$. Clearly each $g \in H$ acts by a unitary operator, so only the continuity of the action is not obvious. Here, knowing that each group element acts by a unitary operator, the continuity is equivalent to the following condition

- For each fixed $f \in L^2([G])$, the map $H \to L^2([G]), g \mapsto r_g f$ is continuous.

By rather general considerations, we know that the space $C_c([G])$ of compactly supported functions on $[G]$ is dense in $L^2([G])$. Using this, we reduce to checking that for each fixed $f \in C_c([G])$, we have $\|r_g f - f\|_2 \to 0$ when $g \to 1$ in H. Let U be a relatively compact open neighborhood of 1 in H. Then there exists a compact subset W of $[G]$ containing $\operatorname{supp}(f) \cdot U$. For $g \in U^{-1}$, the function $r_g f - f$ is supported inside W, and so

$$\|r_g f - f\|_2 \leq \operatorname{vol}(W)^{1/2} \max_W |r_g f - f|.$$

It remains to prove that $\max_W |r_g f - f| \to 1$ as $U^{-1} \ni g \to 1$. Let $\epsilon > 0$. For each $x \in W$, there exists an open neighborhood V_x of 1 in U^{-1} such that $V_x \cdot V_x \subset U^{-1}$ and such that the variance of f on $x \cdot V_x \cdot V_x$ is less than ϵ. Extract from the open covering $W \subset \bigcup_{x \in W} x V_x$ a finite subcovering $W \subset \bigcup_{i=1}^n x_i V_{x_i}$. Let $V = \bigcap_i V_{x_i}$, which is an open neighborhood of 1 in U^{-1}. Now let $g \in V$ and $x \in W$ be arbitrary. We have $x \in x_i V_{x_i}$ for some i. Then x and xg are both in $x_i \cdot V_{x_i} \cdot V_{x_i}$, and hence

$$|f(xg) - f(x)| < \epsilon.$$

This shows that $\max_W |r_g f - f| < \epsilon$. □

Definition 4.1.4. By a discrete automorphic representation, we mean an irreducible unitary representation of $G(\mathbb{A}_F)^1$ that is unitarily equivalent to a closed irreducible sub-representation of $L^2([G])$.

Example 4.1.5. The S^1-representation $L^2(S^1)$ is a Hilbert direct sum of its irreducible sub-representations:

$$L^2(S^1) \cong \widehat{\bigoplus}_{n \in \mathbb{Z}} \chi_n,$$

where χ_n is the one-dimensional unitary representation $S^1 \to S^1 \subset \mathbb{C}^\times, z \mapsto z^n$. This isomorphism sends a function on S^1 to the coefficients of its Fourier series.

Example 4.1.6. The $\mathbb{R}$-representation $L^2(\mathbb{R})$ is not a Hilbert direct sum of its irreducible sub-representations. In fact, it does not have any irreducible sub-representation other than 0! To see this, note that $\widehat{\mathbb{R}} = \{\chi_t \mid t \in \mathbb{R}\} \cong \mathbb{R}$, where $\chi_t : \mathbb{R} \to S^1, x \mapsto e^{itx}$. One checks that for each $t \in \mathbb{R}$, there does not exist $f \in L^2(\mathbb{R})$ such that $f(x+y) = \chi_t(x) f(y)$ for all $x, y \in \mathbb{R}$.

The correct way to decompose $L^2(\mathbb{R})$ is to express it as a direct integral of the χ_t's. Let $\mathbb{C}_t = \mathbb{C}$ be the space of the representation χ_t. Let dt be the Lebesgue measure on $\widehat{\mathbb{R}} \cong \mathbb{R}$. Define

$$\int_{t\in\widehat{\mathbb{R}}} \mathbb{C}_t dt$$

to be the L^2-space of L^2-functions $\widehat{\mathbb{R}} \to \mathbb{C}$ (with respect to the measure dt). We can then define a $\mathbb{R}$-action on $\int_t \mathbb{C}_t dt$ by "letting it act on each $\mathbb{C}_t$ via χ_t". Namely, for $g \in \mathbb{R}$ and $f : \mathbb{R} \to \mathbb{C}$ inside $\int_t \mathbb{C}_t dt$, define

$$gf : \mathbb{R} \longrightarrow \mathbb{C}, \quad t \mapsto \chi_t(g)f(t) = e^{itg}f(t).$$

This is easily checked to be a unitary representation of $\mathbb{R}$ on $\int_t \mathbb{C}_t dt$. By Fourier transform, this is unitarily equivalent to the natural $\mathbb{R}$-representation on $L^2(\mathbb{R})$.

Example 4.1.7. More generally, let H be a Hausdorff locally compact abelian group. Then the unitary dual $\widehat{H}$ is in fact nothing but the Pontryagin dual $\mathrm{Hom}_{\mathrm{cont}}(H, S^1)$. Thus $\widehat{H}$ is naturally a Hausdorff locally compact abelian group. Define the H-representation $\int_{\chi\in\widehat{H}} \mathbb{C}_\chi d\chi$ in the same way as for $H = \mathbb{R}$, using a Haar measure $d\chi$ on $\widehat{H}$. Then the natural H-representation $L^2(H)$ is unitarily equivalent to $\int_{\chi\in\widehat{H}} \mathbb{C}_\chi d\chi$.

As the above examples show, each $\mathbb{C}_\chi$ may or may not be isomorphic to an actual sub-representation of $L^2(H)$.

For the so-called type I topological groups, there is a general result on decomposing an arbitrary unitary representation into a direct integral of irreducible unitary representations. For F local (resp. global) of characteristic zero and G a reductive group over F, the group $G(F)$ (resp. $G(\mathbb{A}_F), G(\mathbb{A}_F)^1$) is of type I.

Theorem 4.1.8. *Let H be of type I. There is a canonical topology on the unitary dual $\widehat{H}$, called Fell topology. For every unitary representation V_0 of G admitting a countable Hilbert basis, there exists a Borel measurable function $m : \widehat{H} \to \mathbb{Z}_{\geq 0}$ and a positive Borel measure $d\mu$ on $\widehat{H}$ such that V_0 is unitarily equivalent to*

$$\int_{V\in\widehat{H}} V^{\widehat{\oplus} m(V)} d\mu$$

The theorem can be applied to the $G(\mathbb{A}_F)^1$-representation $L^2([G])$. However, this theorem is an abstract existence theorem and does not give explicit formulas for computing $d\mu$. There is a much deeper theorem by Langlands, describing the direct integral decomposition of $L^2([G])$ explicitly in terms of discrete automorphic representations of G and those of the Levi components of standard parabolic subgroups of G.

In the rest of the course, we will only consider the number field $\mathbb{Q}$ and the local fields $\mathbb{R}$ and $\mathbb{Q}_p$. The other cases are treated by Weil restriction of scalars.

If V is a discrete automorphic representation of G, then it is also a representation of $G(\mathbb{R})$ and $G(\mathbb{Q}_p)$ by restriction. We now discuss basic representation theory of $G(\mathbb{R})$ and $G(\mathbb{Q}_p)$.

4.2. **Archimedean representation theory.** Let G be a reductive group over $\mathbb{R}$.

Fact 4.2.1. *The topological group $G(\mathbb{R})$ is a Lie group with finitely many connected components. Every compact subgroup is contained in a maximal compact subgroup. All maximal compact subgroups are conjugate by $G(\mathbb{R})^0$. Every maximal compact subgroup meets every connected component of $G(\mathbb{R})$.*

Lemma 4.2.2. *Let K be a compact Hausdorff group. Every irreducible Hilbert representation of K is finite dimensional. Every Hilbert representation of K is isomorphic to a unitary representation, and every unitary representation is a Hilbert direct sum of some of its irreducible sub-representations.*

By the lemma and by Schur's lemma, the unitary dual $\widehat{K}$ is identified with the set of isomorphism classes of finite dimensional irreducible continuous representations of K. Here one uses Schur's lemma to show that on a finite dimensional irreducible continuous representation of K there is up to scalar a unique Hilbert inner product invariant under K.

Suppose V is any representation of K (with or without topology). For each $\sigma \in \widehat{K}$, we have

$$\{v \in V \mid \mathrm{Span} K v \cong \sigma\} = \sum_{W \subset V, W \cong \sigma} W,$$

and this is a sub-representation of V. Denote it by $V(\sigma)$, called the σ-isotypic part of V.

Definition-Proposition 4.2.3. Let V be any representation of K (with or without topology). Define V_{fin} to be the subspace of V given by

$$V_{\mathrm{fin}} = \{v \in V \mid \dim \mathrm{Span} K v < \infty\} = \bigoplus_{\sigma \in \widehat{K}} V(\sigma).$$

(Here the direct sum is algebraic direct sum.) This is a K-stable subspace of V, called the K-finite part of V.

From now on, we fix a maximal compact open subgroup K of $G(\mathbb{R})$.

Definition 4.2.4. For any Hilbert representation (π, V) of $G(\mathbb{R})$, define $V_{\mathrm{fin}} = \bigoplus_{\sigma \in \widehat{K}} V(\sigma) \subset V$ by restricting the representation to K. We call (π, V) admissible, if $\dim V(\sigma) < \infty$ for each $\sigma \in \widehat{K}$.

Theorem 4.2.5 (Harish-Chandra)**.** *Every irreducible unitary representation of $G(\mathbb{R})$ is admissible.*

The irreducible admissible representations of $G(\mathbb{R})$ are much easier to study and classify than irreducible unitary representations. However, the "correct" notion of equivalence between them turns out to be the so-called infinitesimal equivalence, which is weaker than the usual notion of isomorphism of Hilbert representations. We now explain this.

Recall that $G(\mathbb{R})$ is a Lie group. In fact, there is a canonical smooth structure: For any faithful representation $\phi : G \to \mathrm{GL}_n$, we have a closed embedding $\phi(\mathbb{R})$:

$G(\mathbb{R}) \to \mathrm{GL}_n(\mathbb{R})$. The image of $\phi(\mathbb{R})$ is a smooth submanifold of $\mathrm{GL}_n(\mathbb{R})$ (where $\mathrm{GL}_n(\mathbb{R})$ is open in $\mathbb{R}^{n^2}$ and has standard smooth structure). We require that $\phi(\mathbb{R})$ is a diffeomorphism onto its image. The Lie algebra of the algebraic group G is canonically identified with the Lie algebra of the Lie group $G(\mathbb{R})$. Denote it by $\mathfrak{g}$.

We have the exponential map $\exp : \mathfrak{g} \to G(\mathbb{R})$. For GL_n this is the usual exponential of matrices. In general this is defined either by general theory of Lie groups, or by fixing a faithful representation $G \to \mathrm{GL}_n$ and inheriting from GL_n.

Definition 4.2.6. Let (π, V) be a Hilbert representation of $G(\mathbb{R})$. For any $X \in \mathfrak{g}$ and $v \in V$, we define the derivative of v along X to be

$$\pi(X)v = Xv := \frac{d}{dt}|_{t=0}\pi(\exp(tX))v = \lim_{t\to 0} \frac{\pi(\exp(tX))v - v}{t} \in V,$$

if the limit exists. We say $v \in V$ is smooth, if for every sequence $X_1, \cdots, X_k \in \mathfrak{g}$, the successive derivative $X_1 \cdots X_k v \in V$ exists. Let V_{sm} be the subspace of V consisting of smooth vectors.

For $v \in V_{\mathrm{sm}}, X \in \mathfrak{g}, g \in G(\mathbb{R})$, we have $X \cdot (gv)$ exists and

$$X \cdot (gv) = g \cdot (\mathrm{Ad}(g)(X)) \cdot v.$$

Similarly, arbitrary successive derivatives of gv exist. Hence V_{sm} is a $G(\mathbb{R})$-stable subspace of V.

Remark 4.2.7. There is a general notion of smooth maps $G(\mathbb{R}) \to V$. A vector $v \in V$ is smooth if and only if the map $G(\mathbb{R}) \to V, g \mapsto \pi(g)v$ is smooth.

Fact 4.2.8. *The natural action of $\mathfrak{g}$ on V_{sm} is a Lie algebra representation (without any continuity conditions). (Here $\mathfrak{g}$ is a Lie algebra over $\mathbb{R}$, and when considering the $\mathfrak{g}$-representation V_{sm} we think of the $\mathbb{C}$-vector space V_{sm} as an $\mathbb{R}$-vector space. Alternatively, one can consider the $\mathfrak{g}_{\mathbb{C}} = \mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C}$-action on V_{sm}.)*

Proposition 4.2.9 ([1] Prop. 4.4.7)**.** *For any admissible Hilbert representation (π, V) of $G(\mathbb{R})$, we have $V_{\mathrm{fin}} \subset V_{\mathrm{sm}}$. Moreover, V_{fin} is stable under the $\mathfrak{g}$-action.*

Note that V_{fin} is not $G(\mathbb{R})$-stable, but K-stable. Hence it carries two structures: the K-action and the $\mathfrak{g}$-action. The compatibility between the two structures is captured in the following definition.

Definition 4.2.10. A $(\mathfrak{g}, K)$-module is a $\mathbb{C}$-vector space W (with no topology) together with a linear representation by K and a Lie algebra representation by $\mathfrak{g}$ (again, we consider W has an $\mathbb{R}$-vector space in order to talk about $\mathfrak{g}$-representation on W; alternatively one could consider a $\mathfrak{g}_{\mathbb{C}}$-representation on W), satisfying the following conditions:

(1) As a K-representation, we have $W = W_{\mathrm{fin}}$.
(2) For any finite dimensional K-stable subspace $W_1 \subset W$, the K-action on W_1 is continuous and smooth, in the sense that every vector in W_1 is a smooth vector. (Here W_1 is equipped with the canonical topology on a finite dimensional vector space.) Moreover, the resulting Lie K-action on W_1 by differentiating the K-action agrees with the restriction of the $\mathfrak{g}$-action on W.

(3) For all $k \in K, X \in \mathfrak{g}, w \in W$, we have $k \cdot X \cdot k^{-1} \cdot w = (\mathrm{Ad}(k)(X)) \cdot w$.

We say that W is admissible, if $W(\sigma)$ is finite dimensional for each $\sigma \in \widehat{W}$.

Remark 4.2.11. In [1], a condition stronger than (1) is imposed, namely that W is a countable direct sum of finite dimensional K-stable subspaces. The definition here seems to be more standard in the literature, see for instance [5] Chapter 2. For admissible $(\mathfrak{g}, K)$-modules, the two definitions agree. In fact, we have $W = W_{\mathrm{fin}} = \bigoplus_{\sigma \in \widehat{K}} W(\sigma)$. If $W(\sigma)$ is finite dimensional for each σ, then this is already a decomposition of W into a countable direct sum of finite-dimensional K-stable subspaces; the point is that $\widehat{K}$ is a countable set, which can be proved using the second countability of K and the Peter–Weyl theorem.

Theorem 4.2.12. *For any admissible Hilbert representation (π, V) of $G(\mathbb{R})$, V_{fin} is an admissible $(\mathfrak{g}, K)$-module. Moreover, V is irreducible if and only if V_{fin} is irreducible as a $(\mathfrak{g}, K)$-module.*

Theorem 4.2.13 (Harish-Chandra, see [4] Theorem 4.15 or [5] Theorem 2.15)**.** *Every irreducible $(\mathfrak{g}, K)$-module is automatically admissible, and it is isomorphic to the $(\mathfrak{g}, K)$-module V_{fin} for an irreducible admissible Hilbert representation (π, V) of $G(\mathbb{R})$.*

Definition 4.2.14. We say two admissible Hilbert representations of $G(\mathbb{R})$ are infinitesimally equivalent, if their associated $(\mathfrak{g}, K)$-modules are isomorphic.

Corollary 4.2.15. *The set of irreducible admissible Hilbert representations of $G(\mathbb{R})$ modulo infinitesimal equivalence, is in bijection with the set of irreducible (admissible) $(\mathfrak{g}, K)$-modules modulo isomorphism. We call either of these two sets the admissible dual of $G(\mathbb{R})$.*

In general, infinitesimal equivalence is weaker than actual isomorphism. Thus there exist non-isomorphic irreducible admissible Hilbert representations whose associated $(\mathfrak{g}, K)$-modules are isomorphic. Nevertheless, we have the following:

Theorem 4.2.16. *Any two infinitesimally equivalent irreducible unitary representations of $G(\mathbb{R})$ are unitarily equivalent.*

Thus the unitary dual of $G(\mathbb{R})$ injects into the admissible dual of $G(\mathbb{R})$.

Remark 4.2.17 (The problem of globalization)**.** One can consider continuous representations of $G(\mathbb{R})$ on more general locally convex topological vector spaces than Hilbert spaces. From such representations one can similarly produce $(\mathfrak{g}, K)$-modules. A very subtle problem is to find a suitable subcategory of such representations such that the functor from this category to the category of $(\mathfrak{g}, K)$-modules has nice properties (e.g., an equivalence of categories). For a discussion see [1, §4.4] and [4, §4].

5. Lecture 5

5.1. **Non-archimedean representation theory.** Let G be a locally profinite group.

Definition 5.1.1. A smooth representation of G is a linear representation (π, V) of G (with no topology) such that for $v \in V$, the stabilizer of v in G is an open subgroup. Equivalently, $V = \bigcup_K V^K$, where K runs over compact open subgroups of G.

Clearly all sub-representations and quotient representations of a smooth representation are smooth. The category of smooth representations (where morphisms are G-linear maps) is abelian.

Definition 5.1.2. Let $C_c^\infty(G)$ be the $\mathbb{C}$-vector space of compactly supported locally constant functions $G \to \mathbb{C}$. For each compact open subgroup K of G, let $C_c^\infty(G//K)$ be the subspace consisting of functions that are left and right invariant by K.

Lemma 5.1.3 (Easy)**.** *We have $C_c^\infty(G) = \bigcup_K C_c^\infty(G//K)$. For each K, the $\mathbb{C}$-vector space $C_c^\infty(G//K)$ has a basis $\{1_{Kg_iK}\}$, where $\{g_i\} \subset G$ is a set of representatives of $K\backslash G/K$.*

Fix a right Haar measure $d_r g$ on G. For $f_1, f_2 \in C_c^\infty(G)$, define their convolution product to be the function $f_1 * f_2 : G \to \mathbb{C}$ given by

$$g \longmapsto \int_G f_1(gh^{-1}) f_2(h) d_r h.$$

Using the lemma, it is easily seen that $f_1 * f_2 \in C_c^\infty(G)$.

Proposition 5.1.4. *The convolution product $*$ makes $C_c^\infty(G)$ an associative $\mathbb{C}$-algebra (without unit), called the Hecke algebra. For each K, $C_c^\infty(G//K)$ is a subalgebra, and it has its own unit $e_K = \mathrm{vol}(K)^{-1} 1_K$. Moreover, we have $C_c^\infty(G//K) = e_K * C_c^\infty(G) * e_K$, and e_K is an idempotent (i.e., $e_K * e_K = e_K$).*

Let (π, V) be a smooth representation of G. For $f \in C_c^\infty(G)$ and $v \in V$, define

$$\pi(f)v := \int_G f(g)\pi(g) v d_r g.$$

Here the integrand is a compactly supported locally constant function $G \to V$ (since v is fixed by an open subgroup), and the integral is a finite linear combination of elements in the G-orbit of v. More concretely, let $K \subset G$ be an open compact subgroup fixing v and such that f is right K-invariant. Then $f = \sum_{i=1}^n a_i 1_{g_i K}$ for $g_i \in G, a_i \in \mathbb{C}$. We have

$$\pi(f)v = \sum_i a_i \,\mathrm{vol}(g_i K) \pi(g_i) v.$$

Note that if G is unimodular, the above formula simplifies as $\mathrm{vol}(g_i K) = \mathrm{vol}(K)$.

This action of $C_c^\infty(G)$ on V is an algebra representation, i.e., it makes V a (left) module over $C_c^\infty(G)$. For each compact open subgroup K of G, one checks that

$$V^K = \pi(e_K)V.$$

Thus we have $V = C_c^\infty(G) \cdot V$ since $V = \bigcup_K V^K$. In general, we call a $C_c^\infty(G)$-module V non-degenerate if $V = C_c^\infty(G)V$.

Proposition 5.1.5. *The category of smooth representations of G is equivalent to the category of non-degenerate $C_c^\infty(G)$-modules.*

Since $V^K = \pi(e_K)V$ and since e_K is idempotent, V^K is a module over $C_c^\infty(G//K) = e_K * C_c^\infty(G) * e_K$. Moreover, it is a unital module in the sense that the unit $e_K \in C_c^\infty(G//K)$ acts on V^K as the identity. We say that (π, V) is K-unramified if $V^K \neq 0$.

Theorem 5.1.6. *A smooth G-representation V is irreducible if and only if for each compact open subgroup K of G, V^K is either zero or a simple unital $C_c^\infty(G//K)$-module. For a non-zero irreducible V, let K be such that $V^K \neq 0$. Then the isomorphism class of V is determined by the isomorphism class of the $C_c^\infty(G//K)$-module V^K. More precisely, we have a bijection from isomorphism classes of irreducible $G(F)$-representations which are K-unramified to isomorphism classes of non-zero simple unital $C_c^\infty(G//K)$-modules.*

Analogous to the archimedean case, we need a notion of admissibility.

Definition 5.1.7. A smooth representation (π, V) of G is called admissible, if V^K is finite dimensional for each compact open subgroup K of G.

This condition is closely related to a notion of duality for smooth representations. For any smooth representation (π, V), let $V^* = \mathrm{Hom}_{\mathbb{C}}(V, \mathbb{C})$. Then V^* is a linear representation of G by

$$(g\phi)(v) = \phi(g^{-1}v), \quad \forall g \in G, \phi \in V^*, v \in V.$$

This representation may not be smooth, but if we let $V^\vee$ be the subspace of V^* consisting of smooth vectors (i.e., those vectors whose stabilizers in G are open), then $V^\vee$ is a smooth representation. The natural map $V \to (V^*)^*$ induces a morphism of smooth G-representations $V \to (V^\vee)^\vee$.

Proposition 5.1.8. *A smooth representation V of G is admissible if and only if the map $V \to (V^\vee)^\vee$ is an isomorphism. In this case, $V^\vee$ is also admissible.*

The proof boils down to the following fact: For a $\mathbb{C}$-vector space W, the natural map $W \to (W^*)^*$ is an isomorphism if and only if $\dim W < \infty$.

Recall that for a reductive group G over $\mathbb{R}$, the irreducible unitary Hilbert representations of $G(\mathbb{R})$ are admissible, and their unitary equivalence classes are determined by the isomorphism classes of the associated $(\mathfrak{g}, K)$-modules.

We now change notation and let G be a reductive group over a non-archimedean local field F. For a Hilbert representation V of $G(F)$, we can take the smooth vectors $V_{\mathrm{sm}} = \{v \in V \mid v \text{ has open stabilizer in } G(F)\}$. Then V_{sm} is a smooth representation of $G(F)$. The functor $V \mapsto V_{\mathrm{sm}}$ is analogous to taking the associated $(\mathfrak{g}, K)$-module in the archimedean case.

Theorem 5.1.9. *Let G be a reductive group over a non-archimedean local field F, and let V be an unitary Hilbert representation of $G(F)$. Then V is irreducible if and only if the smooth $G(F)$-representation V_{sm} is irreducible. When this is the case, V_{sm} is admissible. Moreover, the unitary equivalence class of V is determined by the isomorphism class of the smooth $G(F)$-representation V_{sm}.*

The implication "irreducible $\Rightarrow$ admissible" is true for an arbitrary smooth representation of $G(F)$, just as for $(\mathfrak{g}, K)$-modules.

Theorem 5.1.10. *Every irreducible smooth representation of $G(F)$ is admissible.*

Here the structure theory of reductive groups is crucial, as the proof relies on parabolic induction.

Definition 5.1.11. A reductive group G over F is unramified, if it is quasi-split and there exists a finite unramified extension F'/F such that $G_{F'}$ is split.

When G is unramified, there is an especially important class of compact open subgroups of $G(F)$, called hyperspecial subgroups. They naturally arise from Bruhat–Tits theory, but can also be abstractly characterized as follows.

Definition-Proposition 5.1.12. A reductive group G over F is unramified if and only if there exists a smooth affine group scheme $\mathcal{G}$ over $\mathcal{O}_F$ whose generic fiber is G and special fiber is a reductive group over the residue field of F. (We call $\mathcal{G}$ a reductive model of G.) When this is the case, for any choice of $\mathcal{G}$, the group $\mathcal{G}(\mathcal{O}_F)$ is a maximal compact open subgroup of $G(F)$, and we call it a hyperspecial subgroup.

Remark 5.1.13. A reductive group G over F is unramified if and only if there exist hyperspecial points in the Bruhat–Tits building of $G(F)$. In this case, the reductive models $\mathcal{G}$ are precisely the Bruhat–Tits group schemes associated with the hyperspecial points, and the hyperspecial subgroups of $G(F)$ are precisely the stabilizers of the hyperspecial points.

Fact 5.1.14. *When G is unramified, all hyperspecial subgroups of $G(F)$ are conjugate by $G^{\mathrm{ad}}(F)$ (but not necessarily by $G(F)$). They all have the same volume, and are precisely the compact subgroups of $G(F)$ having maximal volume.*

Example 5.1.15. The subgroup $\mathrm{GL}_n(\mathcal{O}_F)$ of $\mathrm{GL}_n(F)$ is hyperspecial, and all hyperspecial subgroups of $\mathrm{GL}_n(F)$ are $\mathrm{GL}_n(F)$-conjugate to this one. (Note that $\mathrm{GL}_n(F)$ surjects onto $\mathrm{PGL}_n(F) = \mathrm{GL}_n^{\mathrm{ad}}(F)$.)

Let G over F be unramified, and fix a hyperspecial subgroup K.

Fact 5.1.16 (Consequence of Satake isomorphism)**.** *The convolution algebra $C_c^\infty(G//K)$ is commutative.*

In particular, every non-zero simple unital $C_c^\infty(G//K)$-module is one-dimensional.

Corollary 5.1.17. *For every irreducible K-unramified smooth representation (π, V) of $G(F)$, the space V^K is one-dimensional. The isomorphism classes of such representations are classified by characters (i.e., algebra homomorphisms preserving the unit) $C_c^\infty(G//K) \to \mathbb{C}$.*

5.2. **Restricted tensor product.** Let G be a reductive group over a global field F. Let $\mathcal{V}_F$ be the set of places of F, and let $S \subset \mathcal{V}_F$ be a finite subset containing all archimedean places. Let $\phi : G \to \mathrm{GL}_n$ be a faithful representation over F. For each non-archimedean place v, let $K_v = \phi^{-1}\,\mathrm{GL}_n(\mathcal{O}_{F_v})$, a compact open subgroup of $G(F_v)$. Recall that

$$G(\mathbb{A}_F^S) \cong \prod_{v\in\mathcal{V}_F - S}{}' G(F_v)$$

where the restricted direct product is taken with respect to the K_v's.

Fact 5.2.1. *For almost all v, K_v is a hyperspecial subgroup of $G(F_v)$.*

Definition 5.2.2. Suppose for each $v \in \mathcal{V}_F - S$ we have a $\mathbb{C}$-vector space V_v. Suppose for almost all v we fix an element $h_v \in V_v$. Let $T \subset T'$ be two finite subsets of $\mathcal{V}_F - S$ such that h_v is defined for all $v \notin T$. Define the transition map

$$\bigotimes_{v\in T} V_v \longrightarrow \bigotimes_{v\in T'} V_v, \quad \otimes_{v\in T} f_v \longmapsto (\otimes_{v\in T} f_v)\otimes(\otimes_{v\in T'-T} h_v).$$

Define the restricted tensor product

$$\bigotimes_{v\in\mathcal{V}_F-S}{}' V_v := \varinjlim_{\substack{T\subset\mathcal{V}_F-S\\ \text{finite}}} \bigotimes_{v\in T} V_v.$$

The isomorphism class of this depends only on the lines $\mathbb{C}h_v \subset V_v$ for almost all v.

Since $G(F_v)$ is locally profinite for each $v \in \mathcal{V}_F - S$, the group $G(\mathbb{A}_F^S)$ is clearly locally profinite. On each $G(F_v)$ we fix a Haar measure normalized in such a way that the volume of K_v is 1 for almost all v. We then normalize the Haar measure on $G(\mathbb{A}_F^S)$ by requiring that

$$\mathrm{vol}(K) = \prod_{v\in\mathcal{V}_F-S} \mathrm{vol}(K_v),$$

for $K = \prod_{v\in\mathcal{V}_F-S} K_v$ (which is a compact open subgroup of $G(\mathbb{A}_F^S)$). We use these Haar measures to define the convolution product on $C_c^\infty(G(\mathbb{A}_F^S))$ and $C_c^\infty(G(F_v))$.

Lemma 5.2.3. *We have a natural vector space isomorphism*

$$C_c^\infty(G(\mathbb{A}_F^S)) \cong \bigotimes_{v\in\mathcal{V}_F-S}{}' C_c^\infty(G(F_v)),$$

where the restricted tensor product is with respect to the elements

$$e_{K_v} = \mathrm{vol}(K_v)^{-1} 1_{K_v} \in C_c^\infty(G(F_v)).$$

Moreover, the direct limit defining the right hand side is a direct limit of (non-unital) $\mathbb{C}$-algebras, and the above isomorphism is an isomorphism of $\mathbb{C}$-algebras.

Suppose for each $v \in \mathcal{V}_F - S$ we have a smooth admissible representation V_v of $G(F_v)$. Suppose V_v is K_v-unramified for almost all v, and for such v choose $h_v \in V_v^{K_v}$. Define the restricted product

$$V = \bigotimes_{v\in\mathcal{V}_F-S}{}' V_v$$

with respect to the h_v's. By Lemma 5.2.3, the $C_c^\infty(G(F_v))$-module structures on V_v for all v give rise to a $C_c^\infty(G(\mathbb{A}_F^S))$-module structure on V, since for almost all v the element $e_{K_v} \in C_c^\infty(G(F_v))$ acts as identity on h_v. Thus V is a smooth representation of $G(\mathbb{A}_F^S)$. The isomorphism class of V is independent of the choices of h_v's since $V_v^{K_v}$ is one-dimensional for almost all v by Corollary 5.1.17 and Fact 5.2.1.

Theorem 5.2.4 (Flach)**.** *The $G(\mathbb{A}_F^S)$ representation V is admissible. It is irreducible if and only if V_v is irreducible as a $G(F_v)$-representation, for each $v \in \mathcal{V}_F - S$. In this case the isomorphism classes of all V_v's are uniquely determined by the isomorphism class of V. Every irreducible admissible representation of $G(\mathbb{A}_F^S)$ arises in this way.*

The upshot is that giving an irreducible admissible representation of $G(\mathbb{A}_F^S)$ is equivalent to giving an irreducible admissible (or equivalently, irreducible) representation of $G(F_v)$ for each $v \in \mathcal{V}_F - S$ which is K_v-unramified for almost all v.

6. Lecture 6

6.1. **Automorphic representations.**

Let G be a reductive group over $\mathbb{Q}$. Write $\mathbb{A}_f$ for $\mathbb{A}^\infty$.

Definition 6.1.1. Let $C^\infty(G(\mathbb{A})) = C^\infty(G(\mathbb{R})) \otimes_{\mathbb{C}} C^\infty(G(\mathbb{A}_f))$ where the first factor consists of the usual smooth functions on the Lie group $G(\mathbb{R})$ and the second factor consists of locally constant functions.

Note that for $\phi \in C^\infty(G(\mathbb{A}))$, we can differentiate the archimedean component with respect to any $X \in \mathfrak{g} = \mathrm{Lie}\, G_{\mathbb{R}}$, and obtain $X\phi \in C^\infty(G(\mathbb{A}))$. Thus $C^\infty(G(\mathbb{A}))$ is a $\mathfrak{g}$-module. Let $U(\mathfrak{g})$ be the universal enveloping algebra of $\mathrm{Lie}\, G_{\mathbb{R}}$, and let $Z(\mathfrak{g})$ be the center of $U(\mathfrak{g})$. Then $C^\infty(G(\mathbb{A}))$ is a $U(\mathfrak{g})$-module.

Definition 6.1.2. Fix a faithful representation $\iota : G \to \mathrm{GL}_n$ over $\mathbb{Q}$. For $g = (g_v)_v \in G(\mathbb{A})$ define

$$\|g\| := \prod_v \max(|\iota(g_v)_{ij}|_v, |\iota(g_v^{-1})_{ij}|_v).$$

Here $\iota(g_v), \iota(g_v^{-1}) \in \mathrm{GL}_n(\mathbb{Q}_v)$. A function $\phi : G(\mathbb{A}) \to \mathbb{C}$ is of moderate growth, if $|\phi(g)| \le c\|g\|^r$ for constants $c > 0, r \in \mathbb{R}$. A function $\phi \in C^\infty(G(\mathbb{A}))$ is of uniform moderate growth, if there exists $r \in \mathbb{R}$ and for each $X \in U(\mathfrak{g})$ there exists $c_X > 0$ such that $|(X\phi)(g)| < c_X\|g\|^r$.

Fix a maximal compact subgroup K_∞ of $G(\mathbb{R})$.

Definition 6.1.3. An automorphic form on G (with respect to the choice K_∞) is a function $\phi \in C^\infty(G(\mathbb{A}))$ satisfying:

1. ϕ is left $G(\mathbb{Q})$-invariant.
2. ϕ is of uniform moderate growth.
3. For one (hence any) compact open subgroup $K_f \subset G(\mathbb{A}_f)$, the right $K_\infty \times K_f$-translates of ϕ span a finite dimensional subspace of $C^\infty(G(\mathbb{A}))$. (We say that ϕ is $K_\infty \times K_f$-finite.)

(4) The $Z(\mathfrak{g})$-module generated by ϕ is a finite dimensional subspace of $C^\infty(G(\mathbb{A}))$.

Let $\mathcal{A}(G)$ be the space of all automorphic forms on G.

Definition 6.1.4. By a $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-module, we mean a $\mathbb{C}$-vector space which is simultaneously a $(\mathfrak{g}, K_\infty)$-module and a smooth $G(\mathbb{A}_f)$-representation, satisfying the obvious compatibility condition. Such a module V is called admissible if for every compact open subgroup $K_f \subset G(\mathbb{A}_f)$, the $(\mathfrak{g}, K_\infty)$-module V^{K_f} is admissible.

Lemma 6.1.5. *Every irreducible admissible $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-module factorizes as a tensor product of an irreducible admissible $(\mathfrak{g}, K_\infty)$-module and an irreducible admissible $G(\mathbb{A}_f)$-representation, and the isomorphism classes of the latter two are uniquely determined.*

Note that $\mathcal{A}(G)$ is a $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-module.

Definition 6.1.6. An automorphic representation for G is an irreducible admissible $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-module which is isomorphic to a subquotient of $\mathcal{A}(G)$.

Theorem 6.1.7 (Harish-Chandra)**.** *For each ideal $J \subset Z(\mathfrak{g})$, let $\mathcal{A}(G, J)$ be the subspace of $\mathcal{A}(G)$ annihilated by J. If $\dim_{\mathbb{R}} Z(\mathfrak{g})/J < \infty$, then $\mathcal{A}(G, J)$ is an admissible $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-module.*

Proposition 6.1.8. *Let $(\pi, V) \subset L^2([G])$ be an irreducible $G(\mathbb{A})^1$-subrepresentation. Let V_{fin} be the subspace of V consisting of $f \in V$ (viewed as a function $G(\mathbb{A}_f) \to \mathbb{C}$) that are $K_\infty \times K_f$-finite for one (hence any) compact open subgroup $K_f \subset G(\mathbb{A}_f)$. Then $V_{\mathrm{fin}} \subset \mathcal{A}(G)$, and V_{fin} is an irreducible admissible $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-submodule. Hence V_{fin} is an automorphic representation. The unitary equivalence class of (π, V) as a unitary Hilbert representation of $G(\mathbb{A})^1$ is determined by the isomorphism class of V_{fin} as a $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-module.*

Definition 6.1.9. An automorphic form $\phi \in \mathcal{A}(G)$ is cuspidal if for every proper parabolic subgroup P of G with unipotent radical N, we have

$$\int_{N(\mathbb{Q})\backslash N(\mathbb{A})} \phi(ng)dn = 0$$

for all $g \in G(\mathbb{A})$. Let $\mathcal{A}(G)_{\mathrm{cusp}}$ be the space of cuspidal automorphic forms, which is a $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-submodule of $\mathcal{A}(G)$. If an automorphic representation is isomorphic to a subquotient of $\mathcal{A}(G)_{\mathrm{cusp}}$, then we call it cuspidal. Similarly, define the $G(\mathbb{A})^1$-subrepresentation $L^2_{\mathrm{cusp}}([G]) \subset L^2([G])$.

Theorem 6.1.10 (Gelfand and Piatetski-Shapiro, see [1] Theorem 9.2)**.** *The $G(\mathbb{A})^1$-representation $L^2_{\mathrm{cusp}}([G])$ decomposes into a Hilbert direct sum of irreducible unitary representations.*

Exercise 6.1.11. Let $G = \mathrm{GL}_2$, and $K_\infty = O(2) \subset \mathrm{GL}_2(\mathbb{R})$. Let $f : \mathcal{H} = \{z \in \mathbb{C} \mid \operatorname{Im} z > 0\} \to \mathbb{C}$ be a classical cuspidal modular form of weight k, level $\Gamma_0(N)$, and trivial nebentypus (for simplicity). Then one attaches to f a cuspidal automorphic form $\phi \in \mathcal{A}_{\mathrm{cusp}}(G)$. The form f is Hecke-eigen if and only if the $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-submodule π of $\mathcal{A}_{\mathrm{cusp}}(G)$ generated by ϕ is irreducible and admissible (hence an automorphic representation). In this case, when we factorize $\pi = \pi_\infty \otimes \bigotimes_p \pi_p$, the $(\mathfrak{g}, K_\infty)$-module π_∞ is determined by the weight k, and for $p \not| N$ the $G(\mathbb{Q}_p)$-representation π_p is unramified and determined by the Hecke-eigenvalue of f at p. More precisely, π_∞ is the discrete series of weight k if $k \geq 2$ and is the limit discrete series if $k = 1$. The Ramanujan conjecture, which gives a bound on the Hecke eigenvalues, is translated to the assertion that π_p is tempered for all p.

Similarly, Maass forms also give rise to automorphic representations for G. This time π_∞ is always a principal series (depending on a continuous parameter, which is essentially the Laplace-eigenvalue of the Maass form). The famous Selberg 1/4 Conjecture (or Eigenvalue Conjecture) asserts a certain restriction on π_∞ (i.e., not belonging to the complementary series).

6.2. **The Satake isomorphism, split case.** Let G be a split reductive group over a local non-archimedean field F.

Definition-Proposition 6.2.1. Let $K = \mathcal{G}(\mathcal{O}_F)$ be a hyperspecial subgroup of $G(F)$, where $\mathcal{G}$ is a reductive model of G over $\mathcal{O}_F$. There exists a maximal split torus T in G such that T extends to a closed subgroup scheme of $\mathcal{G}$ which is isomorphic to $\mathbb{G}^r_{m,\mathcal{O}_F}$. We say that T is compatible with K.

Example 6.2.2. $G = \mathrm{GL}_n$, $T =$ the diagonal torus, $K = \mathrm{GL}_n(\mathcal{O}_F)$. Then T and K are compatible.

Remark 6.2.3. The condition that T is compatible with K is equivalent to asking that the hyperspecial point in the Bruhat–Tits building whose stabilizer is K lies in the apartment belong to T.

Let K and T be compatible. Then $K_T := T(F) \cap K$ is a hyperspecial subgroup of $T(F)$, and it is in fact the unique maximal compact open subgroup of $T(F)$. (Note that $T(F) \cong (F^\times)^n$ has a unique maximal compact open subgroup $(\mathcal{O}_F^\times)^n$.) We normalize Haar measures dg and dt on $G(F)$ and $T(F)$ such that $\mathrm{vol}_{dg}(K) = 1$ and $\mathrm{vol}_{dt}(K_T) = 1$. Let B be a Borel subgroup of G containing T. Let N be the unipotent radical of B. We have the Levi decomposition $B = T \ltimes N$. Fix the Haar measure dn on $N(F)$ such that $\mathrm{vol}_{dn}(N(F) \cap K) = 1$. Define the Satake transform

$$\begin{aligned}\mathcal{S} : C_c^\infty(G(F)//K) &\longrightarrow C_c^\infty(T(F)//K_T)\\ f &\longmapsto \big(t \mapsto \delta_B^{1/2}(t) \int_{N(F)} f(tn)dn\big).\end{aligned}$$

This map is independent of the choice of B.

The Weyl group $W(G,T) = W(G,T)(\bar{F}) = W(G,T)(F)$ acts on T by F-automorphisms. Hence it acts on $T(F)$ by topological automorphisms. Since K_T is the unique maximal compact open subgroup, it is stabilized by $W(G,T)$. Hence $W(G,T)$ acts on $C_c^\infty(T(F)//K_T)$. This action preserves the structure of $C_c^\infty(T(F)//K_T)$ as a $\mathbb{C}$-algebra (with the convolution product).

Theorem 6.2.4 (Satake). *The map $\mathcal{S}$ factors through a unital ring isomorphism (for the convolution products)*

$$C_c^\infty(G(F)//K) \xrightarrow{\sim} C_c^\infty(T(F)//K_T)^{W(G,T)}.$$

In particular, $C_c^\infty(G(F)//K)$ is commutative.

Definition 6.2.5. Let $\Psi(G,T) = (X^*(T), \Phi, X_*(T), \Phi^\vee)$ be the root datum associated with (G,T). The Langlands dual group of G is a reductive group $\widehat{G}$ over $\mathbb{C}$ together with a choice of maximal torus $\widehat{T} \subset \widehat{G}$ and an isomorphism of root data $\Psi(\widehat{G},\widehat{T}) \xrightarrow{\sim} \Psi(G,T)^\vee := (X_*(T), \Phi^\vee, X^*(T), \Phi)$.

Lemma 6.2.6. *The algebra $C_c^\infty(T(F)//K_T)$ (where multiplication is convolution product) is naturally isomorphic to the algebra of regular functions on $\widehat{T}$ invariant under $W(\widehat{G},\widehat{T})$ (with usual multiplication of functions). The set of characters on either of them (i.e., unital $\mathbb{C}$-algebra homomorphisms to $\mathbb{C}$) is in natural bijection with the set of $\widehat{G}(\mathbb{C})$-conjugacy classes of semi-simple elements of $\widehat{G}(\mathbb{C})$, and in natural bijection with $\widehat{T}(\mathbb{C})/W(\widehat{G},\widehat{T})$.*

To simplify notation, we denote the last two sets by $\widehat{G}_{ss}/\widehat{G}$ and $\widehat{T}/W$. We also write W for $W(G,T)$. (In fact, $W(\widehat{G},\widehat{T}) \cong W(G,T)$.)

Example 6.2.7. For $G = \mathrm{GL}_n$, we have $\widehat{G} = \mathrm{GL}_{n,\mathbb{C}}$, and the set $\widehat{G}_{ss}/\widehat{G}$ is in bijection with the set of unordered n-tuples in $\mathbb{C}^\times$.

Corollary 6.2.8. *The set of isomorphism classes of irreducible K-unramified representations π of $G(F)$ is in natural bijection with $\widehat{G}_{ss}/\widehat{G}$. We call the element of $\widehat{G}_{ss}/\widehat{G}$ corresponding to π the Satake parameter of π.*

7. Lecture 7

7.1. **Unramified principal series, split case.** We now describe the inverse map of the bijection in Corollary 6.2.8, sending an element x of $\widehat{G}_{ss}/\widehat{G} \cong \widehat{T}/W$ to an irreducible K-unramified $G(F)$-representation π_x.

If we fix an isomorphism $T \cong \mathbb{G}_m^n$, then we obtain trivializations $X^*(T) \cong \mathbb{Z}^n$ and $X_*(T) \cong \mathbb{Z}^n$, and in turn an isomorphism $\widehat{T} \cong \mathbb{G}_m^n$. The element x is thus represented by an element $(q^{-x_1}, \cdots, q^{-x_n}) \in (\mathbb{C}^\times)^n$, with $(x_1, \cdots, x_n) \in \mathbb{C}^n$. Here q is the residue cardinality of F. Define

$$\chi : T(F) \cong (F^\times)^n \longrightarrow \mathbb{C}^\times, \quad (y_1, \cdots, y_n) \longmapsto |y_1|_F^{x_1} \cdots |y_n|_F^{x_n}.$$

Then χ is a smooth character, in the sense that it has open kernel, or equivalently that it is a one-dimensional smooth representation of $T(F)$. One checks that the W-orbit of χ depends only on x, not on the other choices.

Lemma 7.1.1. *The character χ is unramified in the sense that $\chi \equiv 1$ on K_T. Up to the W-action, every unramified character on $T(F)$ arises in this way.*

Remark 7.1.2. If $G = T$, then $x \mapsto \chi$ is our desired inverse map, i.e., χ is the irreducible K_T-unramified representation of $T(F)$ corresponding to x.

Definition 7.1.3. Let $\psi : T(F) \to \mathbb{C}^\times$ be an arbitrary smooth character. Extend it to a smooth character on $B(F) = T(F) \ltimes N(F)$ trivially across $N(F)$, still denoted by ψ. Let $\mathrm{Ind}_B^G \psi$ be the space of functions $f : G(F) \to \mathbb{C}$ satisfying

$$f(bg) = \delta_B(b)^{1/2}\psi(b)f(g), \quad \forall b \in B(F), g \in G(F),$$

and such that f is right invariant under some compact open subgroup of $G(F)$. Let $G(F)$ at on $\mathrm{Ind}_B^G \psi$ by right translation. Thus $\mathrm{Ind}_B^G \psi$ is a smooth $G(F)$-representation, . (It is the smooth part of the usual induction from $B(F)$ to $G(F)$ of the character $\delta_B^{1/2} \cdot \psi$.)

Theorem 7.1.4. *The smooth $G(F)$-representation $\mathrm{Ind}_B^G \chi$ is of finite length, and its semi-simplification depends on χ only via the W-orbit of χ. Among the Jordan–Hölder factors, there is a unique one which is K-unramified. Moreover, this K-unramified representation is the one whose Satake parameter is x.*

7.2. **The general unramified case.** For an arbitrary reductive group G over a field k (of characteristic zero), one still defines a reductive group $\widehat{G}$ over $\mathbb{C}$, and it is equipped with an action of Gal_k via $\mathbb{C}$-algebraic group automorphisms. The action factors through $\mathrm{Gal}(l/k)$ whenever l/k is a finite Galois extension such that G splits over l. We define

$$^L G := \widehat{G} \rtimes \mathrm{Gal}_k \,.$$

Sometimes it is also convenient to define

$$^L G := \widehat{G} \rtimes \mathrm{Gal}(l/k).$$

If G is split, then the Gal_k-action on $\widehat{G}$ is trivial, and for all practical purposes one can replace $^L G$ by $\widehat{G}$.

Now let G be an unramified reductive group over a non-archimedean local field F. The Gal_F-action on $\widehat{G}$ factors through $\mathrm{Gal}(F^{\mathrm{ur}}/F)$. Let

$$^L G^{\mathrm{ur}} = \widehat{G} \rtimes \mathrm{Gal}(F^{\mathrm{ur}}/F).$$

For all practical purposes this serves as $^L G$.

We call an element of $\widehat{G}(\mathbb{C}) \rtimes \mathrm{Fr}$ semi-simple, if its $\widehat{G}(\mathbb{C})$-conjugacy class, i.e. its orbit under the conjugation action by $\widehat{G}(\mathbb{C})$, is Zariski closed.

Theorem 7.2.1. *Fix a hyperspecial subgroup $K \subset G(F)$. There is a natural bijection between isomorphism classes of irreducible K-unramified representations of $G(F)$ and $\widehat{G}(\mathbb{C})$-conjugacy classes of semi-simple elements of $\widehat{G}(\mathbb{C}) \rtimes \mathrm{Fr}$.*

Now let G be a reductive group over $\mathbb{Q}$. Let $\pi = \pi_\infty \otimes \bigotimes'_p \pi_p$ be an automorphic representation for G. If we fix a faithful representation of G, then we obtain a compact open subgroup K_p of $G(\mathbb{Q}_p)$ for all primes p. For almost all p, K_p is hyperspecial, π_p is K_p-unramified, and therefore π_p gives rise to a Satake parameter x_p, which is a $\widehat{G}(\mathbb{C})$-conjugacy class of semi-simple elements of $^L(G_{\mathbb{Q}_p})^{\mathrm{ur}} \rtimes \mathrm{Fr_p}$. If we change the faithful representation, then x_p changes for only finitely many p.

Conjecture 7.2.2 (Weak form of Langlands functoriality)**.** *Let H, G be reductive groups over $\mathbb{Q}$. Let $\phi : {^L H} \to {^L G}$ be an L-morphism (not defined here). For each automorphic representation τ for H, there exits an automorphic representation π for G, such that if $(x_p)_p$ are the Satake parameters defined by τ (defined only for almost all p), then the Satake parameters of π are equal to $\phi(x_p)$ for almost all p.*

Remark 7.2.3. As stated the conjecture is too rough and may be false.

7.3. **The significance of parabolic induction.** Let G be a reductive group over a non-archimedean local field F.

Definition 7.3.1. For any parabolic subgroup P of G with Levi component M and unipotent radical N, and any smooth representation (π, V) of $M(F)$, we view (π, V) as a smooth representation of $P(F)$ via $M(F) \cong P(F)/N$. We then define the smooth $G(F)$-representation $\mathrm{Ind}_P^G V$ consisting of functions $f : G(F) \to V$ which are right invariant under some open subgroup of $G(F)$, and satisfying $f(pg) = \delta_P(p)^{1/2}\pi(p)f(g)$ for all $p \in P(F), g \in G(F)$. The action of $G(F)$ on $\mathrm{Ind}_P^G V$ is via right translation. This representation is called the parabolic induction from P to G of the representation V.

Definition 7.3.2. Let (σ, W) be an irreducible representation of $G(F)$. For each pair $(w, w') \in W \times W^\vee$, we obtain the function $m_{w,w'} : G(F) \to \mathbb{C}, g \mapsto \langle w', \sigma(g)w \rangle$, called a matrix coefficient for σ.

Let

$$G(F)^1 := \bigcap_{\chi \in X^*(G)} \ker(G(F) \xrightarrow{\chi} F^\times \xrightarrow{|\cdot|} \mathbb{R}_{>0}).$$

Definition 7.3.3. We call an irreducible representation of $G(F)$ square integrable, resp. essentially square integrable, resp. tempered, if its matrix coefficients lie in $L^2(G(F))$, resp. restrict to functions in $L^2(G(F)^1)$, resp. lie in $L^{2+\epsilon}(G(F))$ for all $\epsilon > 0$.

Theorem 7.3.4. *Let (σ, W) be an irreducible representation of $G(F)$. Then W is not isomorphic to any sub-representation of any properly parabolically induced representation if and only if its matrix coefficients are compactly supported modulo $Z_G(F)$. When these conditions are satisfied we call (σ, W) supercuspidal. In general, there exists a parabolic subgroup P (possibly equal to G), a Levi component M, and an admissible representation (π, V) of $M(F)$ such that W is isomorphic to a sub-representation of $\mathrm{Ind}_P^G V$.*

7.4. **Isobaric sum for general linear groups, local case.** For $G = \mathrm{GL}_n$, every Levi component of a parabolic subgroup is isomorphic to a product of GL_{n_i}'s. There is an explicit classification, by Bernstein–Zelevinsky, of all irreducible representations of $\mathrm{GL}_n(F)$ in terms of irreducible supercuspidal representations of $\mathrm{GL}_m(F)$ (for $m \leq n$) and parabolic induction.

For any smooth representation π of $\mathrm{GL}_m(F)$ and $\lambda \in \mathbb{C}$, we write $\pi(\lambda)$ for the tensor product of π with the one-dimensional representation $\mathrm{GL}_m(F) \to \mathbb{C}^\times, g \mapsto |\det g|_F^\lambda$.

Theorem 7.4.1. *Let a be a factor of n, and let σ be an irreducible supercuspidal representation of $\mathrm{GL}_{n/a}(F)$. Consider the representation*

$$\sigma^{(a)} = \sigma(-\frac{a-1}{2}) \otimes \sigma(-\frac{a-3}{2}) \cdots \otimes \sigma(\frac{a-1}{2})$$

of

$$M = M_{(n/a, n/a, \cdots, n/a)} = \mathrm{GL}_{n/a}(F) \times \cdots \mathrm{GL}_{n/a}(F)$$

(with a copies). Let $P = P_{(n/a,\cdots,n/a)}$ *so* P *is a standard parabolic of* GL_n *and* M *is its standard Levi component. The representation* $\mathrm{Ind}_P^{\mathrm{GL}_n} \sigma^{(a)}$ *has a unique irreducible quotient* $Q(\sigma^{(a)})$*. The representation* $Q(\sigma^{(a)})$ *is essentially square integrable, and every irreducible essentially square integrable representation of* $\mathrm{GL}_n(F)$ *arises in this way, for a unique pair* (a, σ)*.*

For any irreducible representation π of $\mathrm{GL}_m(F)$, Schur's lemma applies and the center acts by a character. An essentially square integrable irreducible representation π is square integrable if and only if its central character is unitary, and it is always of the form $\pi^1(\lambda)$ for a square integrable π^1 and some $\lambda \in \mathbb{C}$. The real part $\Re(\lambda)$ depends only on π. We denote it by $\Re(\lambda(\pi))$.

Let $n = n_1 + \cdots + n_k$ and π_i be an irreducible essentially square integrable representation of $\mathrm{GL}_{n_i}(F)$. Permute the indexing set to get a new partition $n = m_1 + \cdots + m_k$ and representations σ_i of $\mathrm{GL}_{m_i}(F)$ such that

$$\Re(\lambda(\sigma_1)) \geq \cdots \geq \Re(\lambda(\sigma_k)).$$

Theorem 7.4.2. *The representation* $\mathrm{Ind}_{P_{\underline{m}}}^{\mathrm{GL}_n} \sigma_1 \otimes \cdots \otimes \sigma_k$ *has a unique irreducible quotient. This irreducible representation depends only on the unordered* k*-tuple* $((n_1, \pi_1), \cdots (n_k, \pi_k))$*, not on the choice of permutation. Denote this representation by*

$$\pi_1 \boxplus \cdots \boxplus \pi_k,$$

called the isobaric sum of $\pi_1, \cdots, \pi_k$*. Every irreducible representation of* $\mathrm{GL}_n(F)$ *arises in this way, for a unique* k *and unique unordered* k*-tuple* $((n_1, \pi_1), \cdots (n_k, \pi_k))$*.*

Remark 7.4.3. There is an archimedean analogue of the theorem. See [1, §10.7]

Definition 7.4.4. Let $\pi = \pi_1 \boxplus \cdots \boxplus \pi_k$ be an irreducible representation of $\mathrm{GL}_r(F)$, and $\sigma = \sigma_1 \boxplus \cdots \boxplus \sigma_l$ be an irreducible representation of $\mathrm{GL}_t(F)$. Define the irreducible representation

$$\pi \boxplus \sigma := \pi_1 \boxplus \cdots \boxplus \pi_k \boxplus \sigma_1 \boxplus \cdots \boxplus \sigma_l$$

of $\mathrm{GL}_{r+t}(F)$.

Finally, isobaric sum is related to unramified representations as follows. Let $T = \mathbb{G}_m^n$ be the diagonal torus, and B be the upper triangular Bore subgroup of GL_n. Recall that for an unramified character $\chi = \chi_1 \otimes \cdots \otimes \chi_n : T(F) \to \mathbb{C}^\times$ (where each $\chi_i : F^\times \to F^\times/\mathcal{O}_F^\times \to \mathbb{C}^\times$ is an unramified character), the representation $\mathrm{Ind}_B^G \chi$ has a unique $\mathrm{GL}_n(\mathcal{O}_F)$-unramified irreducible subquotient. Moreover, every $\mathrm{GL}_n(\mathcal{O}_F)$-unramified irreducible representation of $\mathrm{GL}_n(F)$ arises in this way. Note that each χ_i is an essentially square integrable representation of $\mathrm{GL}_1(F)$.

Theorem 7.4.5. *The unique* $\mathrm{GL}_n(\mathcal{O}_F)$*-unramified irreducible subquotient of* $\mathrm{Ind}_B^G \chi$ *is isomorphic to* $\chi_1 \boxplus \cdots \boxplus \chi_n$*.*

7.5. **Isobaric automorphic representations for general linear groups.** Let $G = \mathrm{GL}_n$ over $\mathbb{Q}$. The discussion also applies to $\mathrm{Res}_{F/\mathbb{Q}} \mathrm{GL}_n$ for a number field F. Recall that $G(\mathbb{A}) = A_G \times G(\mathbb{A})^1$, where $A_G = \mathbb{R}_{>0} \subset \mathrm{GL}_n(\mathbb{R}) \subset \mathrm{GL}_n(\mathbb{A})$ (as the scalar matrices). The space of automorphic forms $\mathcal{A}(G)$ is a $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-module, but the group A_G-also acts on it in a compatible way, by right translating the automorphic forms. (Abstractly, the A_G-action is uniquely determined by the action of $\mathrm{Lie}\, A_G \subset \mathfrak{g}$.) We have a decomposition of $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$ modules:

$$\mathcal{A}(G) = \bigoplus_{\lambda \in \mathbb{C}} \mathcal{A}(G)_\lambda,$$

where $\mathcal{A}(G)_\lambda$ is the eigenspace for A_G on which A_G acts by the character $A_G = \mathbb{R}_{>0} \to \mathbb{C}^\times, x \mapsto x^{n\lambda}$. Equivalently, it is the eigenspace for $\mathrm{Lie}\, A_G$ on which $\mathrm{Lie}\, A_G$ acts by the character $\mathrm{Lie}\, A_G = \mathbb{R} \to \mathbb{C}, x \mapsto n\lambda x$. Similarly, we have

$$\mathcal{A}_{\mathrm{cusp}}(G) = \bigoplus_{\lambda \in \mathbb{C}} \mathcal{A}_{\mathrm{cusp}}(G)_\lambda.$$

Recall that the $G(\mathbb{A})^1$-representation $L^2_{\mathrm{cusp}}([G])$ decomposes into a Hilbert direct sum of irreducible closed sub-representations. For each closed irreducible subrepresentation V of $L^2_{\mathrm{cusp}}([G])$, we view it as a $G(\mathbb{A})$-representation by $G(\mathbb{A})^1 \cong G(\mathbb{A})/A_G$. Then the space of K-finite vectors V_{fin} is a $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-submodule (not just a subquotient) of $\mathcal{A}_{\mathrm{cusp}}(G)_0$. In fact, we have

$$\mathcal{A}_{\mathrm{cusp}}(G)_0 = \bigoplus_V V_{\mathrm{fin}}^{\oplus m(V)}, \quad L^2_{\mathrm{cusp}}([G]) = \widehat{\bigoplus_V} V^{\widehat{\oplus} m(V)}$$

where V runs over unitary equivalence classes of closed irreducible subrepresentations of $L^2_{\mathrm{cusp}}([G])$, and $m(V)$ are positive integers. The above similarity between $\mathcal{A}_{\mathrm{cusp}}(G)_0$ and $L^2_{\mathrm{cusp}}([G])$ is true for arbitrary reductive G. Specific to $G = \mathrm{GL}_n$, we have the Theorem of Multiplicity One stating that all $m(V)$ are equal to 1.

The spaces $\mathcal{A}_{\mathrm{cusp}}(G)_\lambda$ for general λ can be understood in terms of the case $\lambda = 0$, as follows. For $\lambda \in \mathbb{C}$ and a $(\mathfrak{g}, K_\infty)$-module (π, V), we define a new $(\mathfrak{g}, K_\infty)$-module $(\pi(\lambda), V)$ as follows: The K_∞-action is the same. For $X \in \mathfrak{g}$ and $v \in V$, define

$$\pi(\lambda)(X) \cdot v := \pi(X) \cdot v + \lambda \mathrm{tr}(X) \cdot v.$$

(If (π, V) comes from differentiating a smooth $G(\mathbb{R})$-representation, then $\pi(\lambda)$ corresponds to twisting the $G(\mathbb{R})$-representation by the character $G(\mathbb{R}) \to \mathbb{C}^\times, g \mapsto |\det(g)|_{\mathbb{R}}^\lambda$.) For a $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-module (π, V), define $(\pi(\lambda), V)$ by modifying the $\mathfrak{g}$-action as above, and twisting the $G(\mathbb{A}_f)$-action by the character $(g_p) \mapsto \prod_p |\det(g_p)|_p^\lambda$. Now note that the function

$$\chi_\lambda : G(\mathbb{A}) \longrightarrow \mathbb{C}, \quad g \longmapsto |\det(g)|_{\mathbb{A}}^\lambda$$

is an automorphic form. Multiplying by χ_λ induces an isomorphism of vector spaces $\mathcal{A}_{\mathrm{cusp}}(G)_{\lambda_0} \xrightarrow{\sim} \mathcal{A}_{\mathrm{cusp}}(G)_{\lambda_0+\lambda}$ for any $\lambda_0 \in \mathbb{C}$. More precisely, it induces an isomorphism of $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-modules

$$\mathcal{A}_{\mathrm{cusp}}(G)_{\lambda_0}(\lambda) \xrightarrow{\sim} \mathcal{A}_{\mathrm{cusp}}(G)_{\lambda_0+\lambda}.$$

Thus we have

$$\mathcal{A}_{\mathrm{cusp}}(G)_\lambda = \bigoplus_V V_{\mathrm{fin}}(\lambda),$$

where V runs through the same set as before. In conclusion, we have

$$\mathcal{A}_{\mathrm{cusp}}(G) = \bigoplus_V \bigoplus_\lambda V_{\mathrm{fin}}(\lambda).$$

It is semi-simple, and each $V_{\mathrm{fin}}(\lambda)$ is an irreducible $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-module; every cuspidal automorphic representation for GL_n is of the form $V_{\mathrm{fin}}(\lambda)$.

Let $n = n_1 + \cdots + n_k$, and let π_i be a cuspidal automorphic representation for GL_{n_i}, for each i. Write $\pi_i = \bigotimes_v' \pi_{i,v}$. For almost all p, the $\mathrm{GL}_n(F)$-representation $\pi_{1,p} \boxplus \cdots \boxplus \pi_{k,p}$ is $\mathrm{GL}_n(\mathcal{O}_F)$-unramified. Thus we can form the $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-module

$$\pi_1 \boxplus \cdots \boxplus \pi_k := \bigotimes_v{}' \pi_{1,v} \boxplus \cdots \boxplus \pi_{k,v}.$$

Theorem 7.5.1. *The $(\mathfrak{g}, K_\infty) \times G(\mathbb{A}_f)$-module $\pi_1 \boxplus \cdots \boxplus \pi_k$ is an automorphic representation for GL_n.*

We call any automorphic representation of the above form isobaric. We define the operation $\boxplus$ among isobaric automorphic representations by

$$(\pi_1 \boxplus \cdots \boxplus \pi_k) \boxplus (\sigma_1 \boxplus \cdots \boxplus \sigma_l) := \pi_1 \boxplus \cdots \boxplus \pi_k \boxplus \sigma_1 \boxplus \cdots \boxplus \sigma_l$$

for all π_i, σ_j cuspidal.

Remark 7.5.2. There exist automorphic representations which are not isobaric. However, it is a result of Langlands that for an arbitrary reductive group G over $\mathbb{Q}$, all automorphic representations appear as subquotients of a more general kind of parabolic induction of cuspidal automorphic representations of Levi components of parabolic subgroups.

The following theorem is the global analogue of Theorem 7.4.2.

Theorem 7.5.3 (Moeglin–Waldspurger)**.** *For every irreducible subrepresentation V of $L^2([\mathrm{GL}_n])$ (i.e. every discrete automorphic representation), the associated automorphic representation V_{fin} is isomorphic to*

$$\boxplus_{i=1}^a \sigma(\frac{a+1}{2} - i)$$

for a unique pair (a, σ), where a is a factor of n, and σ is a cuspidal automorphic representation appearing in $\mathcal{A}_{\mathrm{cusp}}(\mathrm{GL}_{n/a})_0$.

8. Lecture 8

8.1. **Local Rankin–Selberg theory.** Let F be a local non-archimedean field, with residue characteristic q. In order to formulate local Langlands correspondence for GL_n over F, we need local Rankin–Selberg theory, which attaches certain meromorphic functions $L(s, \pi \times \pi'), \epsilon(s, \pi \times \pi', \psi)$ to a pair (π, π') consisting of an irreducible representation π of $\mathrm{GL}_n(F)$ and an irreducible representation π' of $\mathrm{GL}_m(F)$.

We first do this for generic representations π and π'. We define generic as follows. Fix a non-trivial smooth character $\psi : F \to \mathbb{C}^\times$. We first just focus on one group $G = \mathrm{GL}_n$. Let N be the unipotent radical of the standard Borel subgroup B of G. Thus N is the subgroup of upper triangular matrices with 1's on the diagonal. Define

$$\psi_N : N(F) \longrightarrow \mathbb{C}^\times, \quad x = (x_{ij}) \longmapsto \psi(x_{12} + x_{23} + \cdots + x_{n-1,n}).$$

This is a smooth character. Define

$$\mathcal{W}(\psi) := \{f \in C^\infty(G(F)) \mid f(xg) = \psi_N(x)f(g), \forall x \in N(F), g \in G(F)\}.$$

This space admits a $G(F)$-action by right translation.

Theorem 8.1.1. *For any irreducible smooth representation (π, V) of $G(F)$, the space*

$$\mathrm{Hom}_{G(F)}(V, \mathcal{W}(\psi))$$

has dimension either 0 *or* 1*.*

Remark 8.1.2. We have a canonical isomorphism

$$\mathrm{Hom}_{G(F)}(V, \mathcal{W}(\psi)) \cong \mathrm{Hom}_{N(F)}(V, \psi_N)$$

where ψ_N is viewed as a 1-dimensional representation of $N(F)$.

Definition 8.1.3. An irreducible smooth representation (π, V) of $G(F)$ is called generic, if

$$\dim \mathrm{Hom}_{G(F)}(V, \mathcal{W}(\psi)) = 1.$$

For a generic representation (π, V), by definition there is essentially a unique way (i.e., unique up to multiplying by a non-zero scalar) to embed V into $\mathcal{W}(\psi)$ as a subrepresentation. We denote the image by $\mathcal{W}(\pi, \psi)$; this is called the Whittaker model of (π, V). The idea is that we have realized the "abstract" representation π inside the "concrete" function space $\mathcal{W}(\psi)$.

We now consider two groups $G_n = \mathrm{GL}_n$ and $G_m = \mathrm{GL}_m$. For simplicity, we only explain the theory when $n > m$. Write N_n, N_m for the unipotent radical of the standard Borel in the two cases. Again fix ψ.

Let π be a generic irreducible representation of $G_n(F)$, and π' a generic irreducible representation of $G_m(F)$.

For $W \in \mathcal{W}(\pi, \psi), W' \in \mathcal{W}(\pi', \bar{\psi})$ (where $\bar{\psi}$ is the complex conjugate of ψ), and $s \in \mathbb{C}$, we define

$$\Psi(s, W, W') := \int_{N_m(F)\backslash G_m(F)} W(\begin{pmatrix} h & \\ & I_{n-m} \end{pmatrix})W'(h)|\det h|^{s-\frac{n-m}{2}}$$

$$\widetilde{\Psi}(s, W, W') := \int_M \int_{N_m(F)\backslash G_m(F)} W(\begin{pmatrix} h & & \\ x & I_{n-m-1} & \\ & & 1 \end{pmatrix})W'(h)|\det h|^{s-\frac{n-m}{2}} dhdx$$

where $M := M_{(n-m+1)\times m}(F)$.

Theorem 8.1.4. *For any W, W' as above, $\Psi(s, W, W')$ and $\widetilde{\Psi}(s, W, W')$ are rational functions in q^{-s}. Moreover, there is a unique polynomial $P_{\pi,\pi'}(x) \in \mathbb{C}[x]$ with constant term 1 such that*

$$\begin{aligned}&\operatorname{Span}\{\Psi(s, W, W') \mid W \in \mathcal{W}(\pi, \psi), W' \in \mathcal{W}(\pi', \bar{\psi})\}\\ = &\operatorname{Span}\{\widetilde{\Psi}(s, W, W') \mid W \in \mathcal{W}(\pi, \psi), W' \in \mathcal{W}(\pi', \bar{\psi})\}\\ &= P_{\pi,\pi'}(q^{-s})^{-1} \cdot \mathbb{C}[q^s, q^{-s}].\end{aligned}$$

Definition 8.1.5. The local Rankin-Selberg L-function of the pair (π, π') is

$$L(s, \pi \times \pi') := P_{\pi,\pi'}(q^{-s})^{-1}.$$

Theorem 8.1.6. *There is a meromorphic function $\gamma(s, \pi \times \pi', \psi)$ which is a rational function in q^{-s} such that*

$$\widetilde{\Psi}(1 - s, R(w_{n,m})\widetilde{W}, \widetilde{W}') = \omega'(-1)^{n-1}\gamma(s, \pi \times \pi', \psi)\Psi(s, W, W')$$

for all $W \in \mathcal{W}(\pi, \psi), W' \in \mathcal{W}(\pi', \bar{\psi})$. Here the other terms are defined as follows:

- *$\omega' : F^\times \to \mathbb{C}^\times$ is the central character of π'.*
- *For positive integer k, define*

$$w_k = \begin{pmatrix} & & 1 \\ & \iddots & \\ 1 & & \end{pmatrix} \in \mathrm{GL}_k(F).$$

- $\widetilde{W}(g) = W(w_n \cdot {}^t g^{-1}), \quad g \in G_n(F).$
- $\widetilde{W}'(g) = W'(w_m \cdot {}^t g^{-1}), \quad g \in G_m(F).$
- *Let $w_{n,m} = \begin{pmatrix} I_m & \\ & w_{n-m} \end{pmatrix}$, and define $R(w_{n-m})\widetilde{W}$ to be the function on $G_n(F)$ sending*

$$g \mapsto \widetilde{W}(gw_{n-m}).$$

Definition 8.1.7. The local ϵ-function of the pair (π, π') is

$$\epsilon(s, \pi \times \pi', \psi) := \frac{\gamma(s, \pi \times \pi', \psi)L(s, \pi \times \pi')}{L(1 - s, \pi^\vee \times \pi'^\vee)}.$$

Here $\vee$ denotes taking contragredient, and it preserves genericity.

Proposition 8.1.8. *We have $\epsilon(s, \pi \times \pi', \psi) = cq^{-fs}$ for some $c \in \mathbb{C}^\times, f \in \mathbb{Z}$.*

Remark 8.1.9. If $m = 1$ and π' is the trivial representation of $G_m(F) = F^\times$, then f is the smallest non-negative integer such that setting $K_1(f) = \{g \in G_n(\mathcal{O}_F) \mid g \equiv \begin{pmatrix} * & * \\ 0 \cdots 0 & 1 \end{pmatrix} \mod \mathfrak{m}_F^f\}$ we have $\pi^{K_1(f)} \neq 0$.

Proposition 8.1.10. *Let π, π' be generic representations as above. Write $\pi = \boxplus_i \pi_i, \pi' = \boxplus_j \pi'_j$, where π_i and π_j are irreducible essentially square integrable representations of smaller general linear groups. Then*

$$L(s, \pi \times \pi') = \prod_{i,j} L(s, \pi_i \times \pi'_j),$$
$$\epsilon(s, \pi \times \pi', \psi) = \prod_{i,j} \epsilon(s, \pi_i \times \pi'_j, \psi).$$

By the proposition, we can extend the definition of L and ϵ to arbitrary irreducible smooth representations π of $G_n(F)$ and $\pi' = G_m(F)$ by writing them as isobaric sums and using the above product formulas.

8.2. **The unramified case.** We assume that $\psi : F \to \mathbb{C}^\times$ is unramified, in the sense that $\mathcal{O}_F$ is the largest fractional ideal of F on which ψ is trivial. Let π be an irreducible smooth unramified representation of $G_n(F)$, i.e., $\pi^{G_n(\mathcal{O}_F)} \neq 0$, and let π' be an irreducible smooth unramified representation of $G_m(F)$. Then we have

$$\pi = |\cdot|^{\lambda_1} \boxplus \cdots \boxplus |\cdot|^{\lambda_n}$$

where $|\cdot|$ is the absolute value $F^\times \to \mathbb{R}_{>0}$ and $\lambda_i \in \mathbb{C}$ (so $|\cdot|^{\lambda_i}$ is a 1-dimensional representation of $\mathrm{GL}_1(F)$). The representation π is generic if and only if no λ_i, λ_j differ by 1. In this case, if we reorder such that $\Re\lambda_1 \geq \Re\lambda_2 \geq \cdots$, then $\pi = \mathrm{Ind}_B^G(|\cdot|^{\lambda_1} \otimes \cdots \otimes |\cdot|^{\lambda_n})$. Similarly, π' gives rise to $\lambda'_1, \cdots, \lambda'_m \in \mathbb{C}$. In the following we do not assume π and π' are generic. We write $q^{-\lambda}$ for the $n \times n$ diagonal matrix over $\mathbb{C}$ with $q^{-\lambda_i}$ on the diagonal. Similarly define $q^{-\lambda'}$

Theorem 8.2.1. *We have $L(s, \pi \times \pi') = \det(I_{mn} - q^{-s} \cdot q^{-\lambda} \otimes q^{-\lambda'})^{-1}$ and $\epsilon(s, \pi \times \pi', \psi) = 1$.*

Here $q^{-\lambda} \otimes q^{-\lambda'}$ is the tensor product matrix, so it is the $nm \times nm$ diagonal matrix whose diagonal entries are $q^{-\lambda_i - \lambda'_j}$. Thus $L(s, \pi \times \pi')$ is essentially the characteristic polynomial of the tensor product of two semi-simple operators on $\mathbb{C}^n, \mathbb{C}^m$, whose eigenvalues are $\{q^{-\lambda_i}\}$ and $\{q^{-\lambda_j}\}$ respectively. This foreshadows the local Langlands correspondence, which roughly attaches to π (resp. π') a semi-simple operator on $\mathbb{C}^n$ (resp. $\mathbb{C}^m$), and requires a similar relation between $L(s, \pi\times\pi')$ and the characteristic polynomial of the tensor product of these two operators.

8.3. **The local Langlands correspondence for general linear groups.** Let F be a local field. The case $F = \mathbb{C}$ is relatively easy and we omit.

8.3.1. *The case $F = \mathbb{R}$.* Let $\mathfrak{g}_n = \mathfrak{gl}_n$ and let K_n be a maximal compact subgroup of $\mathrm{GL}_n(\mathbb{R})$ (e.g. $\mathrm{O}_n(\mathbb{R})$). The local Langlands correspondence for GL_n over $\mathbb{R}$ is a canonical bijection between isomorphism classes of irreducible $(\mathfrak{g}_n, K_n)$-modules and isomorphism classes of semi-simple n-dimensional complex representations of $W_{\mathbb{R}}$. We sketch the construction.

Analogous to the non-archimedean case, each irreducible $(\mathfrak{g}_n, K_n)$-module π is an isobaric sum $\boxplus_{i=1}^N \pi_i$, where π_i is an essentially square integrable $(\mathfrak{g}_{n_i}, K_{n_i})$-module (and $\sum n_i = n$). Moreover, for there to exist an essentially square integrable $(\mathfrak{g}_k, K_k)$-module we must have $k = 1$ or 2. Hence each n_i is 1 or 2. On the other hand, each semi-simple n-dimensional representation of $W_{\mathbb{R}}$ is a direct sum of irreducible representations, and each irreducible representation is of dimension 1 or 2. The Langlands correspondence takes the isobaric sum to the direct sum. Thus it suffices to establish a bijection $\pi \mapsto \mathrm{rec}(\pi)$ from isomorphism classes of irreducible essentially square integrable $(\mathfrak{g}_k, K_k)$-modules to isomorphism classes of irreducible k-dimensional $W_{\mathbb{R}}$-representations, for $k = 1, 2$. We then obtain the general correspondence

$$\pi = \boxplus \pi_i \longmapsto \mathrm{rec}(\pi) := \bigoplus_i \mathrm{rec}(\pi_i).$$

We match irreducible $(\mathfrak{g}_1, K_1)$-modules (which are all essentially square integrable) with 1-dimensional representations of $W_{\mathbb{R}}$ via class field theory for $\mathbb{R}$. It remains to match essentially square integrable $(\mathfrak{g}_2, K_2)$-modules with 2-dimensional irreducible $W_{\mathbb{R}}$-representations. On both sides we have classifications.

It turns out that each essentially square integrable $(\mathfrak{g}_2, K_2)$-module π is completely classified by its infinitesimal character (i.e., the character by which the center $\mathfrak{z}$ of the universal enveloping algebra of $\mathfrak{g}_2$ acts), and the latter must satisfy a certain integral condition. To be explicit, let

$$H = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}, X = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, Y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, Z = \begin{pmatrix} 1 & \\ & 1 \end{pmatrix}.$$

Then $\mathfrak{z}$ is the polynomial algebra freely generated by Z and

$$\Delta := -\frac{1}{4}(H^2 + 2XY + 2YX).$$

For each $(s, \mu) \in \mathbb{C}^2$ we have a character $\chi_{s,\mu} : \mathfrak{z} \to \mathbb{C}$ sending Z to μ and sending Δ to $s(1-s)$. If π is essentially square integrable, then its infinitesimal character must be of the form $\chi_{k/2,\mu}$ for a positive integer k and $\mu \in \mathbb{C}$. Conversely, for any positive integer k and $\mu \in \mathbb{C}$, there is a unique essentially square integrable $\pi = \pi_{k,\mu}$ whose infinitesimal character is $\chi_{k/2,\mu}$. Note that $\chi_{k/2,\mu}$ uniquely determines (k, μ) (as $k \geq 1$). Thus the isomorphism classes of the π's are in bijection with the pairs (k, μ). Define

$$\rho_{\mu/2,k-1} : W_{\mathbb{C}} \cong \mathbb{C}^\times \to \mathbb{C}^\times, \quad z \mapsto |z|^{\mu/2} \frac{z^{k-1}}{(z\bar{z})^{(k-1)/2}} = |z|^{\frac{\mu}{2}-k+1} z^{k-1}.$$

We then obtain a 2-dimensional irreducible $W_{\mathbb{R}}$-representation

$$\mathrm{rec}(\pi_{k,\mu}) := \mathrm{Ind}_{W_{\mathbb{C}}}^{W_{\mathbb{R}}} \rho_{\mu/2,k-1}.$$

Then sending $\pi_{k,\mu}$ to $\mathrm{rec}(\pi_{k,\mu})$ is a bijection from the isomorphism classes of essentially square integrable $(\mathfrak{g}_2, K_2)$-modules to the isomorphism classes of 2-dimensional irreducible $W_{\mathbb{R}}$-representations.

This completes the sketch of the construction of the local Langlands correspondence for GL_n over $\mathbb{R}$.

8.3.2. *The case F is non-archimedean.* Let Π_n be the set of isomorphism classes of irreducible smooth representations of $\mathrm{GL}_n(F)$, and let Φ_n be the set of isomorphism classes of "admissible" (non-standard terminology) n-dimensional complex representations of the group $W_F' = W_F \times \mathrm{SL}_2(\mathbb{C})$. By definition, an admissible representation of W_F' on a finite dimensional vector space over $\mathbb{C}$ is of the form

$$\bigoplus_{i=1}^{k} V_i \otimes \mathrm{Sym}^{n_i},$$

where V_i is a finite dimensional irreducible continuous representation of W_F (for the discrete topology on V_i), and Sym^{n_i} is the n_i-fold symmetric product of the standard 2-dimensional representation of $\mathrm{SL}_2(\mathbb{C})$. In fact, this notion is just a reformulation of the notion of a Frobenius semi-simple Weil–Deligne representation. For such a representation (V, ρ) of W_F', we write

$$V = \bigoplus_{n=0}^{\infty} V_n \otimes \mathrm{Sym}^n$$

(by recollecting the terms), and define

$$L(s, \rho) := \prod_n \det(1 - q^{-(s+\frac{n}{2})} \rho(\mathrm{Frob})|_{V^{I_F}})^{-1}.$$

By highly non-trivial work of Langlands and Deligne, there is also an associated ϵ-function $\epsilon(s, \rho, \psi)$ characterized by certain axioms.

The following theorem is due to Laumon–Rapoport–Stuhler in positive characteristic, and independently due to Harris–Taylor, Henniart, and Scholze in characteristic zero.

Theorem 8.3.1. *There is a unique family of bijections* $(\mathrm{rec_n} : \Pi_n \xrightarrow{\sim} \Phi_n)_{n\geq 1}$ *satisfying the following conditions.*

(1) *For $\chi \in \Pi_1$ (viewed as $\chi : F^\times \to \mathbb{C}^\times$), we have*

$$\mathrm{rec}_1(\chi) = \chi \circ \mathrm{Art}^{-1},$$

where $\mathrm{Art} : F^\times \xrightarrow{\sim} W_F^{\mathrm{ab}}$ is the Artin map.

(2) *For any $\pi_1 \in \Pi_{n_1}, \pi_2 \in \Pi_{n_2}$, we have*

$$L(s, \pi_1 \times \pi_2) = L(s, \mathrm{rec}(\pi_1) \otimes \mathrm{rec}(\pi_2)),$$
$$\epsilon(s, \pi_1 \times \pi_2, \psi) = \epsilon(s, \mathrm{rec}(\pi_1) \otimes \mathrm{rec}(\pi_2), \psi).$$

(3) *For $\pi \in \Pi_n$ and $\chi \in \Pi_1$, we have*

$$\mathrm{rec}(\pi \otimes (\chi \circ \det)) = \mathrm{rec}(\pi) \otimes \mathrm{rec}(\chi).$$

(4) *For $\pi \in \Pi_n$ with central character $\chi \in \Pi_1$, we have*

$$\det(\mathrm{rec}(\pi)) = \mathrm{rec}(\chi).$$

(5) *For any* $\pi \in \Pi_n$, *we have*

$$\mathrm{rec}(\pi^\vee) = (\mathrm{rec}(\pi))^\vee.$$

Remark 8.3.2. We have $\pi \in \Pi_n$ is supercuspidal if and only if $\mathrm{rec}(\pi)$ is an irreducible representation of W_F with trivial $\mathrm{SL}_2(\mathbb{C})$-action. We have π is essentially square integrable if and only if $\mathrm{rec}(\pi) = V \otimes \mathrm{Sym}^k$ where V is an irreducible representation of W_F. Moreover, if $\pi = \sigma^{(a)}$ for a supercuspidal σ of $\mathrm{GL}_{n/a}(F)$, then $k = a-1$ and $V = \mathrm{rec}(\sigma)$. If $\pi = \boxplus \pi_i$ with each π_i essentially square integrable, then $\mathrm{rec}(\pi) = \bigoplus \mathrm{rec}(\pi_i)$. The upshot is that rec is uniquely determined by its behavior on supercuspidal representations.

8.4. **Instances of global Langlands correspondence.** Let F be a number field, and $G = \mathrm{Res}_{F/\mathbb{Q}} \mathrm{GL}_n$. Let $\pi = \bigotimes_v' \pi_v$ be a cuspidal automorphic representation of G. There is a condition one can impose on π, called L-algebraic. This amounts to asking that the infinitesimal character of π_∞ lies in a certain lattice in the space of all possible infinitesimal characters. The precise formulation requires the Harish Chandra isomorphism for the center of the universal enveloping algebra, which we omit. Fix a prime ℓ, and an isomorphism $\mathbb{C} \cong \overline{\mathbb{Q}}_\ell$.

Conjecture 8.4.1. *There is a continuous representation* $\rho : \mathrm{Gal}(\overline{F}/F) \to \mathrm{GL}_n(\overline{\mathbb{Q}}_\ell)$ *such that for all finite places* v *of* F *coprime to* ℓ, *the Weil–Deligne representation over* $\overline{\mathbb{Q}}_\ell \cong \mathbb{C}$ *of* W_{F_v} *associated to the* ℓ*-adic representation* $\rho|_{\mathrm{Gal}(\overline{F}_v/F_v)}$ *(via the Grothendieck* ℓ*-adic local monodromy theorem) agrees with* $\mathrm{rec}(\pi_v)$.

Theorem 8.4.2 (Harris–Lan–Taylor–Thorne, Scholze)**.** *The conjecture holds for any totally real or CM field* F, *under the additional assumption that* π *is regular (which is a regularity assumption on the infinitesimal character of* π_∞*).*

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